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## **REVIEW ARTICLE**

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#### **Key Points:**

- Atmospheric forcings, land use and management, and soil processes and mechanisms explain how and why soil moisture memory emerges in ecosystems
- Nonlocality of moisture memory, its spread across different regions, and its interaction with large‐scale climate phenomena are underexplored
- Further advances in land surface models and closer integration of model simulations and observations are needed to better characterize moisture memory

#### **Supporting Information:**

Supporting Information may be found in the online version of this article.

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## **Soil Moisture Memory: State‐Of‐The‐Art and the Way Forward**

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**Abstract** Soil moisture is an essential climate variable of the Earth system. Understanding its spatiotemporal dynamics is essential for predicting weather patterns and climate variability, monitoring and mitigating the effects and occurrence of droughts and floods, improving irrigation in agricultural areas, and sustainably managing water resources. Here we review in depth how soils can remember information on soil moisture anomalies over time, as embedded in the concept of soil moisture memory (SMM). We explain the mechanisms underlying SMM and explore its external and internal drivers; we also discuss the impacts of SMM on different land surface processes, focusing on soil‐plant‐atmosphere coupling. We explore the spatiotemporal variability, seasonality, locality, and depth‐dependence of SMM and provide insights into both improving its characterization in land surface models and using satellite observations to quantify it. Finally, we offer guidance for further research on SMM.

**Plain Language Summary** Our review paper takes an in-depth look at soil moisture memory, which is how soil records its moisture history over time and space. Analogous to human psychology, which seeks to understand how a person's/society's memory influences his/her present and future behavior, understanding soil moisture memory encourages consideration of how such memory determines present state and might determine future behavior of soils exposed to environmental disturbances. Soil moisture memory can be affected by a variety of factors, both external (e.g., weather extremes) and internal (soil's unique properties). It affects everything from the air to the way our landscapes respond to disasters like droughts, wildfires, and floods. We also studied how this phenomenon affects the balance of water and energy in our environment, the health of our plants, and even how it communicates with the atmosphere. We show how it can change depending on where you are on the planet, the time of year, and how deep you dig into the soil. We offer scientists insights into how weather and land surface models can become more accurate by accounting for soil moisture memory. Its understanding not only helps us predict and manage our environment, but also provides opportunities for exciting scientific discoveries.

#### **1. Introduction**

Soil as upper "skin" of the Earth's surface harbors plant roots and provides habitat to (soil) biota. The rooting depth can be variable, from a few centimeters up to 2 m, as in reanalysis data such as GLDAS-NOAH (Beau-doing, [2016](#page-30-0); Rodell, Houser, Jambor, et al., [2004;](#page-36-0) Rodell, Houser, Peters-Lidard, et al., [2004](#page-36-0)), ERA5-Land (Hersbach et al., [2020\)](#page-32-0), and GLEAM (Martens et al., [2017;](#page-34-0) Miralles et al., [2011\)](#page-34-0). Hence, soils are considered here as a biologically active region on soils, exceeding common classification depths of  $1-2$  m, but encompassing the whole area of root growth, contact to groundwater, and annual freeze-thaw cycles in permafrost areas (Chesworth, [2007](#page-30-0)). Soils thus supply the water that is transpired by plants or evaporated directly from the soil surface, with or without connection to the groundwater. It should be noted here, however, that this term does not



include the full vadose or critical zone, which extends down to the geological weathering front and might not be reached by plants and related symbiotic organisms. In general, at the ecosystem scale, 60% to 80% (with a global mean value of 61 ± 15%) of the global terrestrial evapotranspiration (∼567 mm per year (Elnashar et al., [2021\)](#page-31-0)) occurs in the form of transpiration and the remaining occurs in the form of evaporation (by ignoring the interception loss) (Schlesinger & Jasechko, [2014\)](#page-36-0). Soils can regulate the storage of water and its support for plants and groundwater recharge (Vereecken et al., [2016](#page-37-0)). Hence, soil provides important ecosystem services to society.

Soil moisture, as a key observable variable of soil system, serves as a vital link between the atmosphere, plants, and the subsurface, and thus plays a critical role in several land‐surface and ecological processes. Soil moisture is defined as the amount of the water in the active (rooted) layer of the soil, typically in the top 1–2 m soil layer (Robock, [2003](#page-35-0)), which has a large interaction with the atmosphere (Orth & Seneviratne, [2012](#page-35-0)) and is "connected" in the sense of Good et al. [\(2015](#page-32-0)) (though not, of course, including surface intercepted water or plant vascular water); it is usually measured as volumetric or gravimetric water content and related to the soil water potential through the water retention characteristic. Soil moisture directly affects agricultural productivity, as well as the overall terrestrial water cycle, related climate patterns, and ecosystem dynamics (Robock, [2003\)](#page-35-0). Soil moisture is one of the most important drivers of land surface greenness by providing water for irrigation and natural vegetation (Robock, [2003\)](#page-35-0), and thus impacting food and environmental security. It affects cloud formation and thus the occurrence of precipitation and consequently the occurrence and duration of droughts through the supply or lack of water that evaporates from the soil and transpired by vegetation (Robock, [2003](#page-35-0); Seneviratne et al., [2010](#page-36-0); Tuller et al., [2023](#page-37-0)). It also controls soil surface temperature, thereby influencing the occurrence of wildfires and heat waves by affecting the distribution of available energy at the surface into sensible and latent heat (Robock, [2003;](#page-35-0) Seneviratne et al., [2010](#page-36-0); Tuller et al., [2023\)](#page-37-0). Soil moisture also influences the occurrence of floods by determining how much precipitation or snowmelt flows directly into rivers and streams (Robock, [2003](#page-35-0)).

Fundamental to understanding soil moisture's connection to and control over these aspects of the climate system is its "memory"—the fact that a wet or dry soil moisture anomaly can persist over a long time, sometimes weeks to months. Relative to atmospheric quantities (wind speed, temperatures, etc.), soil moisture varies slowly, effectively imposing a time filter on the higher‐frequency processes that modify it. This imposes a slowly varying forcing on the processes that soil moisture in turn affects. A proper understanding of any natural process that involves soil moisture thus relies on a proper characterization of soil moisture memory (SMM). SMM is in fact critically important in the prediction arena (for e.g., Dirmeyer et al., [2015](#page-31-0); Mariotti et al., [2020;](#page-34-0) Robertson & Vitart, [2001](#page-35-0))—knowledge of a current soil moisture anomaly implies some knowledge of the anomaly weeks hence and thus some knowledge of the processes that this future anomaly will affect. Considering that the SMM is so central to our understanding of the role of soil moisture in the climate system, we believe that an overview of the current state of research on this topic is urgently needed.

Soil as a complex system has many state variables which are interconnected and influence each other while they are evolving through time. Soil moisture is one of the observable state variables of such a complex system. These variables as well as external forcings may leave an imprint on soil moisture dynamics that can be described by SMM. Typically, variables of complex systems are subjected to reversible oscillations as well as irreversible decays that paradoxically coexist and lead to formation of memory in these systems (Kenkre, [2021](#page-33-0)). The coexistence of oscillations and decays also applies to soil moisture. In this context, Delworth and Man-abe ([1988\)](#page-31-0) considered soil moisture evolution as a first-order Markovian process and used it to analyze soil moisture decay. Later, Koster and Suarez ([2001\)](#page-33-0) showed that the inverse of the decay rate introduced by Delworth and Manabe [\(1988](#page-31-0)) determines the SMM timescale. There is already sufficient evidence that the SMM timescale is important from various points of view and has implications for various land surface processes, from surface energy balance and drought occurrence and severity to biogeochemical cycles. Therefore, in this paper, we provide a comprehensive review of previous research on SMM, examining its drivers and impacts on land surface processes and discussing the current state of research in this area. The article is organized as follows with Section [2](#page-2-0) first defines the concept of SMM and quantification of its timescale and discusses the different terminologies used for SMM. Section [3](#page-8-0) comments on the length of the SMM timescale as reported in the literature and discusses its temporal variability. Section [4](#page-9-0) discusses the spatial variability of the SMM timescale. In Section [5,](#page-10-0) we first provide information on the coupling of soil moisture with land surface processes and the hotspots of soil moisture‐atmosphere coupling, and then address the factors controlling SMM and the impact of SMM on various land surface processes. Section [6](#page-16-0) discusses how SMM is integrated and represented by models. Section [7](#page-21-0) provides a discussion on how SMM can be observed from space. In Section [8,](#page-22-0) we discuss how the concept of

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<span id="page-2-0"></span>

SMM can be used for soil moisture prediction and the downscaling of large-scale soil moisture products. Finally, Section [9](#page-23-0) discusses current issues in the field and prospects for future research, and Section [10](#page-28-0) provides a summary and outlook for the paper.

#### **2. SMM: Soil Moisture Memory**

#### **2.1. Concept**

The term SMM can be traced back to the seminal work of Koster and Suarez [\(2001](#page-33-0)), who built on the work of Hasselmann ([1976\)](#page-32-0) and Delworth and Manabe ([1988\)](#page-31-0). Koster and Suarez [\(2001](#page-33-0)) defined SMM as the time required for the soil column to "forget" a perturbation, which might have arisen from an extreme precipitation event or from an anomalously dry period. Hasselmann ([1976\)](#page-32-0) proposed a concept that emphasizes the ability of a particular component within the climate system, characterized by high-frequency fluctuations, to influence another component, resulting in low‐frequency fluctuations. Building on this, Frankignoul and Hasselmann  $(1977)$  $(1977)$  provided a practical demonstration of this theory by showing how short-term atmospheric forcings can trigger long‐term anomalies in sea surface temperatures, which in turn can be attributed to the response of the oceanic surface layer. Similarly, Shukla and Mintz ([1982\)](#page-36-0) also effectively discussed SMM: "In the extratropics, with its large seasonal changes, the soil plays a role analogous to that of the ocean. The ocean stores some of the radiational energy it receives in summer and usesit to heat the atmosphere over the ocean in winter. The soilstores some of the precipitation it receives in winter and uses it to humidify the atmosphere in summer." In this analogy, the soil functions similarly to the ocean by taking the random precipitation and producing a time series of anomalies in soil moisture (Delworth & Manabe, [1988](#page-31-0)). We should note, however, that soil moisture variability generally occurs on shorter timescales than sea surface temperature variability, and this variability is characterized by the interactions between soil moisture and atmosphere as influenced by the energy and water balance of the land surface (Timbal et al., [2002](#page-37-0)).

More recently, Song et al. [\(2019](#page-36-0)) approached the definition of SMM from a novel perspective, viewing it as the period wherein detectable moisture anomalies hold the potential to influence the atmosphere. Gao et al. ([2018\)](#page-32-0) explained this concept by pointing to the link between positive and negative soil moisture anomalies and corresponding rainfall surplus or deficits, thus triggering a domino effect on subsequent periods of increased or decreased evapotranspiration, then on the water and energy balances of the land surface and from there again the atmospheric state. Encompassing a broader perspective, Ruscica et al. [\(2014](#page-36-0)) assumed that anomalous soil moisture impacts the atmospheric state through complicated land surface feedback mechanisms that span across diurnal to seasonal timescales. The multifaceted nature of SMM finds expression in the explanation offered by He et al. [\(2023](#page-32-0)), who propose two distinct but not independent descriptions: one represents the SMM as the temporal duration required for a perturbation to manifest and decay in the time domain (irreversible changes), while the second definition relates to the time taken for soil moisture to regain equilibrium following a perturbation (reversible changes). In any case, the perturbations considered so far encompass a diverse array of wet anomalies like precipitation or dry anomalies like drought. Sörensson and Berbery ([2015\)](#page-36-0) presented SMM as a gauge of the temporal span during which a moisture anomaly retains detectability and sustains the potential to exert influence upon the atmosphere.

Drawing from cognitive analogies, Asharaf and Ahrens ([2013\)](#page-30-0) expressed memory as a complicated process of encoding and recalling information, whereby the power of memory stems from intrinsic changes within the system. These system changes are not necessarily included in the definitions noted above. However, such a notion of soil memory has a major impact on the predictability of weather and climate events (Santanello Jr et al., [2018\)](#page-36-0), thus enriching our understanding of the temporal variability that governs our climate system on Earth.

#### **2.2. Quantification**

A typical framework used in the literature to analyze SMM is the 1D soil moisture balance equation for a homogeneous soil (Delworth & Manabe, [1988;](#page-31-0) McColl, Wang, et al., [2017](#page-34-0)):

$$
C_s \frac{dS(t)}{dt} = P(t) - L(S(t)) = P(t) - [D(S(t)) + ET(S(t)) + Q(S(t))]
$$
\n(1)

<span id="page-3-0"></span>where  $S(t)$  is soil saturation degree (dimensionless) at time  $t(T)$ ,  $P(t)$  is the rainfall rate  $(LT^{-1})$  and  $L(S(t))$  is the soil water loss rate (LT<sup>−</sup> <sup>1</sup> ). The components of loss term include *Q*(*S*(*t*))—surface runoff rate (LT<sup>−</sup> <sup>1</sup> ), *D*(*S*(*t*)) the drainage rate  $(LT^{-1})$ , and  $ET(S(t))$ —evapotranspiration  $(LT^{-1})$ ; all as a function of  $S(t)$ . The quantity  $C_s$  is soil water storage capacity (L), which is defined as  $C_s = n\Delta z$ , where *n* is soil porosity (L<sup>3</sup>L<sup>-3</sup>) and  $\Delta z$  is soil rooting depth or active layer (L). The *S*(*t*) term is also defined as  $\theta(t)/\theta_{\text{sat}}$  where  $\theta(t)$  is volumetric soil moisture content  $(L<sup>3</sup>L<sup>-3</sup>)$  at the time *t* and  $\theta_{\text{sat}}$  is the saturated moisture content of soil  $(L<sup>3</sup>L<sup>-3</sup>)$ .

Delworth and Manabe ([1988\)](#page-31-0), building on the pioneering work of Hasselmann ([1976\)](#page-32-0) who applied first-order Markov processes to explore the dependencies between white noise (short-term variation) and red noise spectra of sea surface temperatures, explored the temporal spectrum of soil moisture anomalies. They showed that soil moisture dynamics as described by Equation [1](#page-2-0) can be formulated as a first-order Markov process:

$$
\frac{dW(t)}{dt} = -\lambda W(t) + \omega(t) \tag{2a}
$$

 $\omega(t) = \text{rainfall} + \text{smooth} - \text{runoff}$  (2b)

where  $W(t)$  represents soil moisture (L) in the soil root zone as a function of time  $t$  (T). As defined above, *W*  $(t) = C_s S(t)$ . The term  $\omega(t)$  represents the white noise (LT<sup>-1</sup>) at time *t*, and  $\lambda$  (T<sup>-1</sup>) is a constant defined as  $\lambda = E_0$ /  $W_{\text{FC}}$ , where  $E_0$  is potential evapotranspiration (LT<sup>-1</sup>) and  $W_{\text{FC}}$  is soil moisture at field capacity (L). The quantity 1/*λ* denotes the decay timescale (T) of the autocorrelation function, later defined as the timescale of SMM by Koster and Suarez  $(2001)$  $(2001)$ . The approach assumes that (a) anomalies of effective precipitation (precipitation minus runoff) can be represented as a white noise process and (b) anomalies of evapotranspiration can be approximated as a linear function of soil moisture.

Inspired by the above formalisms, several approaches have been proposed to quantify the timescale of SMM based on the analysis of time series data of soil moisture; these approaches include computing the e‐folding autocorrelation, integral timescale, soil moisture variance spectrum, and decorrelation time as well as employing a hybrid stochastic‐deterministic model, as detailed further below. However, to date, the research conducted by McColl, Alemohammad, et al. ([2017\)](#page-34-0) is, to the best of our knowledge, almost the only investigation that evaluates comprehensively the advantages and disadvantages of these metrics when it comes to quantifying the memory timescale of soil moisture. They mentioned three aspects in which memory metrics may differ: timescale definition, anomaly reference state, and consideration of positive or negative anomalies. They state that commonly used autocorrelation‐based metrics, such as e‐folding and integral timescales, are fine to the extent that the time series is approximated as red noise. While this is often a reasonable approximation at monthly or longer time scales, it is often invalid at shorter time scales. In addition, they argue that autocorrelation-based measurement techniques ignore the sign of the soil moisture anomaly and thus neglect valuable information. It is argued that the manifestation of positive peaks in soil moisture is caused by rapid, irregular precipitation events, whereas negative anomalies of soil moisture content are caused by more gradual, quasi-deterministic mechanisms exemplified by the complicated interplay of evapotranspiration processes. McColl, Alemohammad, et al. ([2017\)](#page-34-0) suggest that it would be beneficial to quantify the dissipation timescales of these fast and slow processes separately. They also considered metrics that have been proposed to overcome the above limitations, including mean persistence time, which measures the average amount of time that the soil moisture time series spends above or below a fixed threshold, such as soil moisture at the wilting point. They caution, however, that while this approach considers positive and negative anomalies separately, it still depends on the choice of threshold. Although the scope of the study by McColl, Alemohammad, et al. ([2017\)](#page-34-0) (focusing on six metrics) is appreciated, a more comprehensive analysis that incorporates all identified metrics seems necessary to select the most appropriate metric for the memory time scale. We thus call for such a comprehensive analysis in future research that systematically compares the performance and applicability of all the different metrics identified in this review.

Before diving into the details of the SMM timescale metrics, we would like to point out that while some references use *τ* as the notation for the SMM timescale, we suggest here the use of  $t_{SMM}$  (with a dimension of [T]) instead given that  $\tau$  also refers to time lag in these formulations. We will also continue to use the notation SMM when referring to the concept of soil moisture memory (rather than its timescale) as an emergent property of the complex soil system ("emergent" in the sense that it arises from various interacting components in the earth/soil system without belonging to the individual components themselves).

#### <span id="page-4-0"></span>**2.2.1. Autocorrelation Timescales**

 $t_{SMM}$  is usually defined as the time lag at which autocorrelation in soil moisture data is reduced to its e-folding (Delworth & Manabe, [1988;](#page-31-0) Vinnikov & Yeserkepova, [1991](#page-37-0); Wu & Dickinson, [2004\)](#page-37-0) or it is reduced to zero (Ghannam et al., [2016](#page-32-0)). Delworth and Manabe [\(1988](#page-31-0)) (with further reformulations by Vinnikov and Yeserkepova ([1991\)](#page-37-0), Robock et al. ([1995\)](#page-35-0), Vinnikov et al. ([1996](#page-37-0)), and Dirmeyer et al. ([2016\)](#page-31-0)) defined the autocorrelation function,  $r(\tau)$ , of a time series of soil moisture measurements as follows, based on a first-order statistical model of the Markov process:

$$
r(\tau) = 1 - \alpha \quad \tau = 0 \tag{3}
$$

$$
r(\tau) = \exp(-\lambda \tau) - \alpha \quad \tau \neq 0 \tag{4}
$$

where  $\tau$  is the lag (T),  $\lambda$  with a dimension of 1/T is the constant from Equation [2a,](#page-3-0) and  $\alpha$  is part of the variance that is attributable to random processes without autocorrelation being ascribed to the random error of the measurements (Vinnikov & Yeserkepova, [1991\)](#page-37-0). The autocorrelation expressed by above equations provide the sum of the red (with a variance of unity for pure red-noise spectrum) and white noises (Robock et al., [1995](#page-35-0); Vinnikov & Yeserkepova, [1991](#page-37-0)). Later, Robock et al. ([1995\)](#page-35-0) using observational soil moisture data approximated the *α* to be 0.1.

According to above formulations, the  $t_{SMM}$  can be defined in three ways: (a) the first-time lag (*τ*) at which  $r(\tau)$ drops to 1/e  $\approx$  0.37 (e-folding) of its initial value (=1), (b) the first-time lag (*τ*) at which  $r(\tau)$  crosses zero (Ghannam et al., [2016\)](#page-32-0), (c) or the first time lag at which it drops below the autocorrelation corresponding to the 95% or 99% confidence level (Dirmeyer et al., [2009](#page-31-0); MahfuzurRahman & Lu, [2015](#page-34-0); Ruscica et al., [2014](#page-36-0)), given the sample size. The latter corresponds to the lag value at which the autocorrelation reaches the lowest significant  $(p = 0.05 \text{ or } 0.01)$  values. Alternatively, one computes the area under the  $r(\tau)$ -curve (Ghannam et al., [2016](#page-32-0); Katul et al., [2007;](#page-33-0) McColl, Alemohammad, et al., [2017\)](#page-34-0) obtained from Equation 4 to produce an integral timescale:

$$
t_{\text{SMM}} = \int_0^{+\infty} r(\tau) \, d\tau \tag{5}
$$

The above formulation assumes that  $r(\tau)$  decays to zero as  $\tau$  tends to infinity. In order to determine the autocorrelation of the data, the seasonal cycle must be removed from the data for all the methods mentioned above before calculations are performed (Vinnikov & Yeserkepova, [1991](#page-37-0)). However, Ghannam et al. ([2016\)](#page-32-0) argue and show that the de-trending treatments cause losses in the variances of the soil moisture time series, which can consequently lead to losses in the memory of the time series, especially when estimated through the integral timescale. This is because de‐trending changes the spectrum of soil moisture at low frequencies. Interestingly, applying different detrending methods (e.g., de‐trending by local monthly or seasonal averages) can result in different ranges of autocorrelation and clearly different memory timescales (Ghannam et al., [2016](#page-32-0)). Therefore,  $t<sub>SMM</sub>$  estimates should be interpreted with caution when the soil moisture time series is subjected to different statistical treatments.

Several researchers (Koster & Suarez, [2001](#page-33-0); Orth & Seneviratne, [2012,](#page-35-0) [2013](#page-35-0); Seneviratne, Koster, et al., [2006](#page-36-0); Seneviratne & Koster, [2012](#page-36-0); Wei et al., [2006](#page-37-0)) have also used interannual autocorrelation over a particular lag to quantify  $t_{\text{SMM}}$ . To do this, one needs to find the correlation between soil moisture data of day *n* from all years and the data from day  $n + \tau$  from all years. The largest  $\tau$  value that results in a significant autocorrelation at a 95% confidence level is treated as a measure of  $t_{SMM}$  (Rahman et al., [2015\)](#page-35-0) (Figure [1\)](#page-5-0).

Vinnikov et al. ([1999](#page-37-0)) followed by Entin et al. [\(2000](#page-31-0)) showed that there might be two different timescales for a particular climate system (Hasselmann, [1976\)](#page-32-0). This is particularly the case when rainfall is not climatologically random or when excessive runoff occurs (Delworth & Manabe, [1988\)](#page-31-0). In this regard, Entin et al. [\(2000](#page-31-0)) separated the temporal variance of soil moisture into two components: (a) one at a small temporal scale, determined by land surface type (soil characteristics, topography, vegetation, and root structure), and (b) one at a large temporal scale, reflecting atmospheric forcing. For both components, time remains the measurement unit. They characterized the small-scale component of soil moisture variance in time as white noise and the large-scale component as red noise. The basic idea behind this concept is that the nature of the soil surface affects the direct infiltration of water

<span id="page-5-0"></span>

**Figure 1.** Calculation of soil moisture memory timescale ( $t_{SMM}$ ) from time series data of soil moisture (represented by filled black circles) based on the interannual e-folding method. The pale dots in the above figure mean that the data of a particular year can be excluded from the analysis during different iterations to examine the effects of that specific year on long-term *t*<sub>SMM</sub>. The notations of  $r_1$ ,  $r_2$ ,  $r_7$ , and  $r_{7+1}$  donate autocorrelation at time lags of 1, 2,  $\tau$ , and  $\tau + 1$ , respectively, and  $r_{0.95}$ donates for significant autocorrelation at a 95% confidence level.

into and through the soil and the amount of water that the soil can store, while the atmospheric component is responsible for the amount of water available to the soil through rain orsnowmelt and for the rate at which water is released through evapotranspiration. Accordingly, the total estimated variance of soil moisture, denoted as var (*θ*), is:

$$
var(\theta) = var_{sur}(\theta) + var_{atm}(\theta)
$$
\n(6)

where var<sub>sur</sub>( $\theta$ ) and var<sub>atm</sub>( $\theta$ ) denote soil moisture variance induced by land surface-related variability and atmosphere‐related variability, respectively. Accordingly, Entin et al. ([2000\)](#page-31-0) expressed the estimates of the temporal autocorrelation of soil moisture as below:

$$
r(\tau) = \text{var}_{\text{sur}}(\theta) \exp\left(-\frac{\tau}{t_{\text{SMM}}^{\text{sur}}}\right) + \text{var}_{\text{atm}}(\theta) \exp\left(-\frac{\tau}{t_{\text{SMM}}^{\text{atm}}}\right) \tag{7}
$$

where  $r(\tau)$  is the temporal covariance function,  $\tau$  is the time lag, and  $t_{SMM}^{sur}$  and  $t_{SMM}^{atm}$  are the scales of temporal autocorrelation,  $t_{SMM}$ , derived by land surface-related variability and atmosphere-related variability, respectively. The smaller timescale,  $t_{SMM}^{sur}$ , is assumed to be of the order of a few days (Entin et al., [2000\)](#page-31-0) and therefore can be ignored when using soil moisture data with temporal resolution of larger than a day (e.g., weekly, or monthly data). However, the larger timescale,  $t_{SMM}^{atm}$ , is assumed to be of the order of months (Entin et al., [2000\)](#page-31-0).

To determine the atmospheric forcing's timescale, autocorrelations are calculated for different time lags (a few days up to a few months, when the autocorrelation approaches zero). Then, the natural logarithm of the autocorrelation estimates is plotted against the applied lag values, and a line of best fit is found. The negative inverse of itsslope will provide the atmospheric forcing'stemporal timescale, and the *y*‐intercept will provide the variance



induced by red noise (Entin et al., [2000\)](#page-31-0). For the timescale associated with land surface-related variability, the autocorrelations among different locations should be averaged together for each lag value before the same plotting process is applied (Entin et al., [2000](#page-31-0)).

#### **2.2.2. Soil Moisture Variance Spectrum**

The  $t_{SMM}$  can also be determined from the normalized temporal spectrum of soil moisture,  $E_{ns}(f)$ , where *f* is the number of cycles per unit time (frequency) (Ghannam et al., [2016;](#page-32-0) Katul et al., [2007](#page-33-0); Nakai et al., [2014](#page-34-0)). In fact, the  $E_{nS}(f)$  is the Fourier transform of  $r(\tau)$ , also known as the Wiener-Khinchin theorem, which states that the autocorrelation function of a long‐range stationary random process has a spectral decomposition given by the power spectrum of that process (Chatfield, [2003](#page-30-0)). The  $E_{ns}(f)$  is formulated as follows (Ghannam et al., [2016\)](#page-32-0):

$$
E_{\rm ns}(f) = 2 \int_{-\infty}^{+\infty} r(\tau) e^{-i2\pi ft} d\tau \tag{8}
$$

Ghannam et al. ([2016](#page-32-0)) used an ad hoc extrapolation of the spectral behavior of  $\theta(t)$  when *f* tends to zero to estimate  $t_{\text{SMM}}$  as follows:

$$
E_{\rm ns}(0) = 4 \int_0^{+\infty} r(\tau) \, d\tau = 4t_{\rm SMM} \quad \to \quad t_{\rm SMM} = \frac{E_{\rm ns}(0)}{4} = \int_0^{+\infty} r(\tau) \, d\tau \tag{9}
$$

The above formulation is identical to the integral timescale.

#### **2.2.3. Decorrelation Time**

Von Storch and Zwiers [\(2002](#page-37-0)) used "decorrelation time" as a measure of *t*<sub>SMM</sub>. According to them, decorrelation time refers to a physical time scale representing the interval between successive uncorrelated observations. It is derived from the lag‐1 autocorrelation coefficient (*ρ*) as follows (Gao et al., [2018](#page-32-0); Von Storch and Zwiers, [2002](#page-37-0)):

$$
T_d = \frac{1+\rho}{1-\rho} \tag{10}
$$

where  $T_d$ , the decorrelation time, serves as a measure of  $t_{SMM}$ .

#### **2.2.4. Hybrid Stochastic‐Deterministic Model**

McColl et al. ([2019](#page-34-0)) argued that the theoretical basis for the e-folding autocorrelation timescale (i.e., using a red noise process to approximate soil water balance) is fundamentally suitable for coarse scales (both temporal and spatial) and is thus not applicable at finer spatial and temporal resolutions, as might be encountered with modern satellite observations and models. Therefore, they reconceptualized the SMM and introduced a new hybrid stochastic‐deterministic model including a deterministic component for dry conditions and a stochastic component for wet conditions. Finally, they used the occurrence of precipitation to separate the deterministic and stochastic components (Figure [2](#page-7-0)). The new hybrid model has been formulated as follows (McColl et al., [2019](#page-34-0)):

$$
\frac{d\theta(t)}{dt} = -\frac{\theta(t) - \theta_w}{t_{\text{SMM}}^L} \text{ if precipitation} = 0 \text{ in the interval } [t - \Delta t, t] \tag{11a}
$$

$$
\frac{d\theta(t)}{dt} = -\frac{\theta(t) - \overline{\theta}}{t_{\text{SMM}}^S} + \varepsilon(t) \text{ if precipitation} > 0 \text{ in the interval } [t - \Delta t, t] \tag{11b}
$$

where  $\theta_w$  is the minimum soil moisture value for the given location,  $\overline{\theta}$  is the time average of soil moisture,  $\varepsilon(t)$  is an independent and equally distributed random variable with an expected mean value of zero, *t* is time, and ∆*t* is the time interval of data observations. The quantity  $t_{SMM}^L$  is referred to as long-term memory, which is controlled by stage‐II evapotranspiration (where the evapotranspiration rate decreases due to the decrease of soil moisture) resolved by the observations, while  $t_{SMM}^S$  is referred to as short-term memory, which is determined by a

<span id="page-7-0"></span>

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**Figure 2.** Soil moisture,  $\theta(t)$ , drydowns at different timescales. When soil moisture data are collected at sufficiently high frequencies, drydowns can be fully resolved, approximating drying phases with a fast drainage timescale (the short‐term memory  $t_{SMM}^S$  and a slower ET timescale (the long-term memory  $t_{SMM}^L$ ). If the sampling frequency is not high enough, the drydowns are only partially resolved (only the later phases of the drydown). If the sampling frequency is very low (e.g., for older models on a timescale of weeks to months), almost all the drydowns will not be resolved ‐ figure and caption are adopted from McColl et al. ([2019\)](#page-34-0).

combination of unresolved processes (especially, but not exclusively, by drainage). Figure 2, adapted from McColl et al.  $(2019)$  $(2019)$ , clearly shows the short- and long-term  $t_{SMM}$  for fully and partially resolved and unresolved processes. It should be noted that when the hybrid model is applied to monthly data ("∆*t* = 30 days"), the model reduces to the original red noise model as introduced by the previous metrics. This is because precipitation is nonzero for all time blocks, so that in the reduced form of the hybrid model,  $t_{\text{SMM}}^L$  is zero and  $t_{\text{SMM}}^S$  is equivalent to  $t_{SMM}$  obtained by the previous metrics.

Calculating  $t_{SMM}^S$  and  $t_{SMM}^L$  from the hybrid model requires a binary precipitation variable that is significantly flawed when extracted from remote sensing data (McColl et al., [2019\)](#page-34-0). Therefore, McColl et al. ([2019\)](#page-34-0) provided two other alternative formulations for  $t_{SMM}^S$  and  $t_{SMM}^L$  calculations to avoid introducing a separate precipitation time series into the analysis. For brevity, we refrain from providing more information on these alternatives, instead referring the reader to their study.

#### **2.3. Similar Terminologies**

Two other terms in the literature refer to the concept of SMM but from different perspectives, namely (a) Anomaly Persistence of Soil Moisture (APSM) and (b) Soil Moisture Drydowns (SMD). The APSM predates the SMM in the literature as it is primarily used in drought characterization research (Oladipo & Hare, [1986\)](#page-35-0). As Oladipo and Hare [\(1986](#page-35-0)) reported, Namias [\(1960](#page-34-0)) was probably among the first researchers to provide evidence of drought persistence (anomalous moisture conditions) when he showed the persistence of drought from one summer to the next in the continental United States of America. This finding was later evidenced by Walker and Rowntree [\(1977](#page-37-0)) in Africa; they noted that once the land was wet or dry, it remained in that condition for at least several weeks. This was also later confirmed by Kraus [\(1977](#page-33-0)) and Katz ([1978](#page-33-0)). The more modern concept of the APSM regards it as a measure of the distribution of periods when soil moisture is above or below a certain threshold (e.g., water stress to plants) (Ghannam et al., [2016\)](#page-32-0). In general terms, the notion of persistence in a stochastic field  $\mathcal{Q}(x,t)$ , oscillating around its ensemble mean  $\langle \mathcal{Q}(x,t) \rangle$  under a given set of dynamics, is defined at a fixed point as the probability that the quantity  $sgn[\mathcal{Q}(x,t) - \langle \mathcal{Q}(x,t) \rangle]$  does not change until time *t* (Ghannam et al., [2016](#page-32-0); Perlekar et al., [2011](#page-35-0)). In the context of soil moisture dynamics, the ensemble mean can be replaced by a certain threshold, as mentioned above (Ghannam et al., [2016\)](#page-32-0).

Although researchers have used the terms SMM and APSM interchangeably, they are not identical. Ghannam et al. ([2016](#page-32-0)) examined the differences between SMM and APSM timescales ( $t_{SMM}$  and  $t_{APSM}$ , respectively) for root zone soil moisture. They made a clear distinction between  $t_{SMM}$  and  $t_{APSM}$ , characterizing  $t_{SMM}$  as an essentially quasi-deterministic timescale that is largely determined by evapotranspiration and drainage (water <span id="page-8-0"></span>losses from the soil column), and  $t_{\text{APSM}}$  as an inherently probabilistic scale that is primarily determined by precipitation and represents a distribution of periods when soil moisture is above or below a certain threshold. Ghannam et al. ([2016\)](#page-32-0) interpreted SMM and APSM as encoding different information about soil moisture dynamics in the root zone, making them relevant to different problems. For example, SMM is more relevant to landatmosphere interaction schemes used in climate models because these schemes rely on SMM to improve their predictive ability for seasonal forecasts (Seneviratne, Koster, et al., [2006](#page-36-0)). However, as a measure of the strength of land‐atmosphere coupling, APSM (an indicator of wet or dry conditions) may be more relevant than SMM (correlation timescale) because the wetness or dryness of the soil column largely controls surface energy fluxes (Ghannam et al., [2016](#page-32-0)). Several metrics have been introduced to quantify  $t_{\rm APSM}$ , as listed in Supporting Information S1.

The term SMD refers to the quasi-exponential decrease in soil moisture immediately following the occurrence of precipitation (McColl, Wang, et al., [2017](#page-34-0)). During this period, Equation [1](#page-2-0) can be rewritten as follows, neglecting drainage and runoff fluxes (McColl, Wang, et al., [2017\)](#page-34-0):

$$
\frac{d\theta}{dt} = -\frac{ET(\theta, t)}{\Delta z} = -\beta(\theta) \frac{E_0}{\Delta z}
$$
\n(12)

where  $\beta(\theta)$  is a dimensionless function equal to 1 for intermediate moist soils ( $\theta_c < \theta < \theta_{\text{FC}}$ ) and defined as below for dry soils  $(\theta_{WP} < \theta < \theta_c)$ :

$$
\beta(\theta) = \frac{\theta(t) - \theta_{\text{WP}}}{\theta_c - \theta_{\text{WP}}}
$$
\n(13)

where  $\theta_{FC}$  and  $\theta_{WP}$  are the soil moisture at field capacity and wilting point, respectively, and  $\theta_c$  is the critical soil moisture beyond which soil moisture is not a limiting factor for evapotranspiration. McColl, Wang, et al. ([2017\)](#page-34-0) rearranged Equation 13 for dry soils to obtain the SMD timescale as follows:

$$
\frac{-\theta(t) - \theta_{\rm WP}}{\rm SMD} = -\beta(\theta) \frac{E_0}{\Delta z} \rightarrow \rm SMD = \frac{\Delta z(\theta(t) - \theta_{\rm WP})}{E_0}
$$
(14)

where SMD timescale is a measure of  $t_{SMM}$ . Comparing the formula for  $t_{SMM}$  given by Delworth and Man-abe [\(1988](#page-31-0)) as  $t_{SMM} = W_{FC}/E_0$ , where  $W_{FC} = \Delta z \theta_{FC}$ , with the formula given by McColl, Wang, et al. [\(2017](#page-34-0)) in Equation 14, we can see that they are almost identical, differing only by the soil moisture level considered.

To quantify the SMD timescale, Shellito et al. ([2016\)](#page-36-0) and McColl, Wang, et al. ([2017\)](#page-34-0) first identified the individual drydowns in the soil moisture time series and then modeled them by fitting the following exponential model for each drydown:

$$
\theta(t) = \Delta\theta \exp\left(-\frac{t}{\text{SMD}}\right) + \hat{\theta}_{\text{WP}}
$$
\n(15)

where  $\theta(t)$  is the soil moisture content (L<sup>3</sup>L<sup>-3</sup>) observed *t* days after the onset of desiccation,  $\Delta\theta$  is the positive increase in soil moisture (L<sup>3</sup>L<sup>-3</sup>) preceding desiccation,  $\hat{\theta}_{WP}$  is the effective wilting point (the estimated lower limit of soil moisture ( $L^3L^{-3}$ ), which is likely to be less than the actual wilting point). Finally, the median of the estimated SMD for all drydowns is considered as the final estimate of SMD for the respective pixel/point.

Note that all current considerations assume that soil moisture dynamics are fully reversible. Hence,  $t_{\text{SMM}}$  is conceptually linked to concepts of resilience, which consider the return of a system to its original properties after an external perturbation.

#### **3. The SMM Timescale and Its Temporal Variability**

In general, the *t*<sub>SMM</sub> is reported to be a couple of days to several months (from 1 month up to 12 months) (Amenu et al., [2005](#page-30-0); Delworth & Manabe, [1988;](#page-31-0) Liu & Avissar, [1999;](#page-33-0) MacDonald & Huffman, [2004](#page-34-0); McColl, Alemohammad, et al., [2017;](#page-34-0) McColl, Wang, et al., [2017](#page-34-0); Orth & Seneviratne, [2012](#page-35-0); Rowntree & Bolton, [1983](#page-36-0); <span id="page-9-0"></span>Seneviratne et al., [2010](#page-36-0); Simmonds & Hope, [1998](#page-36-0); Walker & Rowntree, [1977](#page-37-0); Yasunari, [2007;](#page-38-0) Yeh et al., [1984\)](#page-38-0) or even more than 1 year (Amenu et al., [2005;](#page-30-0) Song et al., [2019](#page-36-0); Stahle & Cleaveland, [1988\)](#page-37-0), which is confirmed by both observational data (Entin et al., [2000;](#page-31-0) Ganeshi et al., [2023](#page-32-0); Ghannam et al., [2016](#page-32-0); Orth & Seneviratne, [2012](#page-35-0); Orth et al., [2013](#page-35-0); Seneviratne & Koster, [2012](#page-36-0); Shinoda & Nandintsetseg, [2011;](#page-36-0) Vinnikov & Yeserkepova, [1991](#page-37-0); Vinnikov et al., [1996\)](#page-37-0) and model simulated data (Gao et al., [2018;](#page-32-0) Koster et al., [2000](#page-33-0); Koster & Suarez, [2001](#page-33-0); Koster et al., [2010;](#page-33-0) Schlosser & Milly, [2002;](#page-36-0) Seneviratne, Koster, et al., [2006](#page-36-0); Seneviratne & Koster, [2012;](#page-36-0) Wu & Dickinson, [2004](#page-37-0)). This is also confirmed with both theoretical (calculation of  $W_f/E_0$  ratio) and empirical (fitting Equations [2a](#page-3-0) and [2b](#page-3-0) to measured data) estimation methods (Vinnikov & Yeserkepova, [1991\)](#page-37-0).

*t*<sub>SMM</sub> varies in time. Delworth and Manabe [\(1988](#page-31-0)) highlighted that the seasonal cycle of potential evaporation at mid- and high latitudes results in shorter  $t_{SMM}$  in summer and longer  $t_{SMM}$  in winter. Entin et al. ([2000\)](#page-31-0) and Douville et al. ([2007\)](#page-31-0) confirmed the existence of such seasonal variations in  $t_{SMM}$ . Shinoda and Nandintset-seg [\(2011](#page-36-0)) found for the Mongolian steppe that  $t_{SMM}$  can last 5.5–8.2 months in autumn and winter, while spring and summer showed  $t_{SMM}$  of 1.5–3.0 months. In the forest-steppe zone,  $t_{SMM}$  was even longer in autumn and winter (6.0–7.0 months), but again longer than in spring and summer (3.0–1.8 months) (Nandintsetseg  $\&$  Shi-noda, [2014](#page-34-0)). Liu et al. [\(2014](#page-33-0)) confirmed that  $t_{SMM}$  lasted longer during spring (around 3.0–4.0 months) than during summer (around 2.0–3.0 months) and autumn (2.0 months) and this was especially the case in mid-latitudes. According to Dirmeyer et al. ([2009\)](#page-31-0),  $t_{SMM}$  is largest in wetter and/or colder seasons as well as in areas covered by snow or in dry regions. However, when comparing the  $t_{SMM}$  values from different studies, the frequency of soil moisture sampling must be considered. This is because—as we discuss in more detail later in Section  $5$ —the longer intervals can naturally lead to longer  $t_{SMM}$  estimates.

However, the earlier work of Wu and Dickinson ([2004\)](#page-37-0) does not confirm the strong control of seasonality on  $t<sub>SMM</sub>$ and argues that the mechanisms controlling its timescales are likely more complex. The authors considered four belts including equatorial, subtropical, midlatitude, and high latitude in the Northern Hemisphere and determined the belt-averaged autocorrelation coefficient profiles with depth (3.5 m deep) and across seasons; they found that  $t<sub>SMM</sub>$  was not necessarily longer in winter than in summer as reported by, for example, Delworth and Man-abe [\(1988](#page-31-0)). Contrary to previous reports, Orth and Seneviratne [\(2012\)](#page-35-0) even found  $t_{SMM}$  in Europe to be weakest in spring and then increasing until fall. Based on these studies, both the timescale and seasonality of  $t_{\text{SMM}}$  seem to be site-specific and dependent on local hydrological settings. In this regard, Hagemann and Stacke ([2015\)](#page-32-0) reported that the simulated  $t_{\text{SMM}}$  in global climate models is generally elevated during the dry season when a soil moisture buffer exists below the root zone, but that  $t_{SMM}$  tends to be shortened where bare soil evaporation has increased; this is more common in semi‐arid regions and wet seasons. In some areas, the increased evaporation can be offset by reduced transpiration which in turn also offsets the shortening of the  $t_{SMM}$  (Hagemann & Stacke, [2015](#page-32-0)). A conceptualization of the underlying mechanisms for these variable responses, however, is still lacking. Nevertheless, there appears to be an interaction between the  $t_{SMM}$  and the climatic regimes as well as the vegetation cover and local hydrological settings. Overall, it is difficult to isolate the individual effects of the several factors on  $t<sub>SMM</sub>$ , given their potentially complex interaction. A good example of such a challenging interaction would be the effect of transpiration and evaporation on  $t_{SMM}$ , as the effects of an increase in one can be offset by a decrease in the other (Hagemann & Stacke, [2015](#page-32-0)).

## **4. Spatial Variability of SMM**

 $t_{SMM}$  not only varies in time but also in space. On the global scale, Yeh et al. [\(1984](#page-38-0)) employed a model with idealized geography and found that the persistence of soil moisture anomalies depended significantly on latitude. Delworth and Manabe ([1988\)](#page-31-0) also confirmed a latitudinal dependence of soil moisture anomaly persistence, with the persistence increasing from tropical areas to high latitudes. The authors assume that this reflects an overall dependency of *t*<sub>SMM</sub> on geographically varying climate parameters, yet, without going more into detail. They showed that the geographic dependence of the temporal variability of memory timescale is rooted in the spatial dependence of potential evaporation and soil field capacity. Physically, the lower the latitude, the greater the available radiation for evaporation and thus the greater the potential evaporation rate. As a result, soil moisture anomalies dissipate faster, and the memory timescale is shorter (Delworth & Manabe, [1988\)](#page-31-0). However, we would like to point out that the incoming radiation (shortwave and longwave) at the surface is not only influenced by latitude, but also by cloud cover. Therefore, regions under subtropical high pressure may receive more shortwave radiation than tropical regions affected by, for example, the Intertropical Convergence Zone (ITCZ) with <span id="page-10-0"></span>persistent cloud cover. Accordingly, the reasoning of Delworth and Manabe [\(1988](#page-31-0)) for shorter  $t_{SMM}$  at lower latitudes may face some limitations. Liu and Avissar ([1999\)](#page-33-0) analyzed the spatial distribution of the memory timescale in the land–atmosphere system using simulated data. The authors found that soil moisture has strong persistence with 1‐month autocorrelation coefficients of over 30% everywhere on Earth (an average of about 60% at the global scale). The authors confirmed that  $t_{SMM}$  increases at high latitudes and is intimately related to the extent of aridity in the regions. They found greater persistence (indicated by greater autocorrelations) and associated prolonged  $t_{SMM}$  in arid regions, where soil moisture variations are less severe and infrequent than in humid regions. They supported this result with observations from China.

McColl, Alemohammad, et al. [\(2017](#page-34-0)) concluded that consistently shorter  $t_{SMM}$  in the tropics is due to intense rainfall as well as rapid evapotranspiration and drainage fluxes. The authors explained that these short residence times in soil water reflect the rapid overturning of the terrestrial hydrologic cycle at the land surface, with, for example, most inflows from precipitation leaving the topsoil within 3 days. Conversely, the  $t_{SMM}$  was highest in mid‐latitudes, particularly in northern Africa, parts of the Middle East, central Asia, and northern China as well as the western United States, because in these regions, the terrestrial hydrologic cycle is overturned only slowly at the land surface. The analysis was confirmed by Liu et al. ([2014\)](#page-33-0) who showed that land surface memory for soil moisture anomalies is longer in midlatitudes (ca. 2–3 months) and shorter in the Tropics (1.0–2.0 months). Similarly, Ruscica et al. [\(2014](#page-36-0)) report minimum  $t_{SMM}$  (0–5 days) over northern Uruguay, southern Brazil, and some points in Argentina and Paraguay where precipitation is persistent and high, while maximum  $t_{SMM}$  (30 days) occurred in northwestern areas of South America that experience low precipitation persistence.

Several studies analyzed the spatial variability in  $t_{SMM}$  for specific climate regions or continents. Asharaf and Ahrens ([2013\)](#page-30-0) examined the Indian summer monsoon season and showed that simulated memory lengths were longer in the western region than in the eastern region (14 and 9 days, respectively, at 34 cm soil layer depth), thus following the higher rainfall in the west than in the east. Also, the  $t_{SMM}$  increased with soil depth. MacLeod et al. [\(2016](#page-34-0)) reported that in general, memory increases with soil depth (and, thus, increasing mean residence time of soil water), though with significant spatial differences and depending on the start date of the modeling.

According to Orth and Seneviratne [\(2013](#page-35-0)) SMM serves as a kind of upper bound for the memory found in other hydrological processes like streamflow and evapotranspiration. The stronger the coupling between SMM and streamflow or evapotranspiration, the stronger their respective memory. The authors also found significant SMM in all examined catchments in Europe. The largest SMM, quantified by applying the interannual autocorrelation over a 30‐day lag to daily data, was found in central Europe (Germany, eastern France), while it was low in mountainous regions (Alps, Massif Central, Scandinavian mountains).

Instead of a simple rationale for the latitudinal dependence of spatial variability in  $t_{\text{SMM}}$ , Orth et al. [\(2013](#page-35-0)) linked it to several factors by showing that  $t_{SMM}$  decreases with elevation and with increasing topography and aridity, with elevation being the most important, followed by topography and the aridity index.

He et al. [\(2023](#page-32-0)) found that the short-term memory SMM<sup>S</sup>, as defined by McColl et al. ([2019](#page-34-0)), lasted longer in arid regions (i.e., the Midwest of the United States and central Australia). In contrast, the long-term memory  $SMM_t^L$  is longer over wet areas. This is linked to the spatial distribution of soil hydraulic properties, allowing water from precipitation to drain rapidly into deeper soil in wet soils with higher hydraulic conductivities.

## **5. SMM and Soil‐Plant‐Atmosphere Interactions**

In this section, we briefly present how soil moisture dynamics and therewith SMM impact processes in the soil– plant-atmosphere (SPA) system, resulting in feedback loops in which various processes influence SMM, and SMM, in turn, influences these processes. Figure [3](#page-11-0) illustrates the processes involved in this feedback loop.

In general, the interactions between soil moisture and land surface processes can be considered from various angles, including water and energy balances, vegetation dynamics, climate feedback, and SPA interactions (Seneviratne et al., [2010\)](#page-36-0). From the water balance equation, Equation [1](#page-2-0), it is clear that available soil moisture is linked to the different components of the water balance equation which also affect atmosphere and land surface processes (Daly & Porporato, [2005](#page-30-0); Ghannam et al., [2016](#page-32-0); Katul et al., [2012;](#page-33-0) Seneviratne et al., [2010\)](#page-36-0). Similarly, considering the soil energy balance equation, Equation [16](#page-11-0) (Seneviratne et al., [2010](#page-36-0)); soil moisture affects the partitioning of net surface radiation into sensible heat, latent heat, and soil heat flux. Generally, outside of energy-

<span id="page-11-0"></span>

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**Figure 3.** Representation of the effect of soil moisture memory (SMM) on processes involved in the coupling (black arrows) of land, plant, and atmosphere processes in the soil‐plant‐atmosphere system. The size of the red dots indicates those processes that are influenced by SMM and that are supported by previous research (indicated by a purple halo; the larger the halo, the more phenomena studied according to the number of references cited in Tables [1](#page-14-0) and [2\)](#page-17-0) or postulated by us and/or other researchers but not yet underpinned by findings documented in the literature (no halo). As an example, SMM can have an impact on precipitation through its effect on evapotranspiration and surface energy partitioning which is documented in literature. This may lead to changes that can then impact air circulation and cloud formation which then will finally impact precipitation (Yao et al., [2023\)](#page-38-0). This feedback loop occurs when the soil that is excessively wet from a precipitation event continues to experience above-average evaporation in subsequent weeks, triggering additional precipitation (Koster et al., [2003](#page-33-0)). Conversely, a precipitation deficit can also trigger a feedback loop in which evaporation rates reduced by the lack of rain can further reduce subsequent precipitation (Koster et al., [2003](#page-33-0)). The lagged effects of soil moisture on evaporation have also been documented more recently (Rahmati et al., [2023a;](#page-35-0) Yao et al., [2023\)](#page-38-0) which nicely fits into the memory concept of soil moisture feedback on evapotranspiration.

limited evaporation regimes, moist soils have a higher evaporation rate, resulting in higher latent heat flux and lower surface temperatures and therefore leading to a cooler surface (Humphrey et al., [2021\)](#page-32-0). Conversely, dry soils result in higher sensible heat flux, higher surface temperatures, and a warmer land surface (Humphrey et al., [2021\)](#page-32-0).

$$
\frac{dH}{dt} = R_n(t) - \lambda ET(t) - SH(t) - G(t)
$$
\n(16)

where  $dH/dt$  is the energy change within the surface soil layer considered, *t* is time,  $R_n(t)$  is the net radiation,  $\lambda ET$ is the latent heat flux, SH is the sensible heat flux, and *G* is the soil heat flux.

The feedback loop between soil moisture and soil water and energy balances (as shown in Figure 3) can well explain the emergence of SMM and its effects on various processes in the SPA system. However, an important consideration here is the strength of the coupling between soil, plant, and atmospheric processes. There are regions where the coupling is strong and others where it is weaker, which should be considered when dealing with SMM investigations. In this regard, the term "hot spots" designates specific terrestrial, where a strong coupling between soil moisture and the atmosphere exists (Koster et al., [2004](#page-33-0)). To identify such hot spots, we must consider the strength of the coupling between soil moisture and a given atmospheric variable (e.g., air

<span id="page-12-0"></span>temperature, relative humidity, or vapor pressure deficit) in relation to all other boundary conditions that affect this variable (Koster et al., [2004](#page-33-0)). Many studies related to soil moisture‐atmosphere coupling tend to focus on these areas (Barcellos et al., [2018;](#page-30-0) Bu et al., [2023;](#page-30-0) Giles et al., [2023;](#page-32-0) Sangelantoni et al., [2023](#page-36-0); Yin et al., [2023\)](#page-38-0). However, it is worth noting that the location of the hotspots also depends on the metric used to define them. For example, the hotspots of predictive skill do not coincide with the classical hotspots of land‐atmosphere coupling (Koster et al., [2010](#page-33-0), [2011](#page-33-0), [2016\)](#page-33-0).

Koster et al. ([2004](#page-33-0)) considered the strength of coupling between soil moisture and precipitation—the coupling strength comes from a study with several models based on model ensemble statistics, as it is not a directly measurable variable and therefore could not be validated—and identified hot spots of soil moisture and atmosphere in the central Great Plains of North America, the Sahel, equatorial Africa, and India. Less intensive couplings between soil moisture and precipitation were found in South America, Central Asia, and China. The authors argued that the hot spots are in transition zones between dry and humid regions, which comprise regions where boundary layer moisture can trigger moist convection. In these regions, evaporation is high but still sensitive to soil moisture and, therefore, can transfer the effects of soil moisture to the atmosphere (precipitation). Wet regions in contrast feature evapotranspiration rates (and thus precipitation rates) that vary little with soil moisture, and in dry regions, the evapotranspiration rates, while sensitive to soil moisture, are too low to have a significant impact. The occurrence of hot spots in transition zones was later confirmed by Seneviratne et al. [\(2010](#page-36-0)), who showed that such a strong coupling between soil moisture and atmosphere prevails only in transition zones having both a strong dependence of evapotranspiration on soil moisture and large mean evapotranspiration.

Exploration ofsoil moisture and atmospheric hot spots has also focused on the coupling between soil moisture and air temperature (e.g., Dirmeyer, [2011b](#page-31-0); Koster et al., [2006](#page-33-0); Miralles et al., [2012](#page-34-0)). Such investigations have confirmed that the hot spots occur in transition climatic regions; they also tend to show that the coupling is a bit stronger than that between soil moisture and precipitation. However, several new hot spots were discovered (Mueller & Seneviratne, [2012\)](#page-34-0) where a strong coupling of soil moisture and temperature was later confirmed by remote sensing data, albeit with some underestimations (Hirschi et al., [2014](#page-32-0)).

In the following subsections, we focus on the driving factors of  $t_{SMM}$  and then on the implications of SMM obtained from the literature.

## 5.1. **Controlling Factors** of **SMM** and  $t_{SMM}$

In general, the SMM, and more specifically its timescale ( $t_{SMM}$ ), is controlled by seasonal variations in the atmosphere and their coupling with soil moisture, as well as by the dependence of evaporation and runoff on soil moisture (Douville et al., [2007\)](#page-31-0). However, there may be other controlling factors, such as variability in soil properties.

The following autocorrelation expression, originally introduced by Koster & Suarez ([2001\)](#page-33-0) and then improved by Seneviratne and Koster ([2012](#page-36-0)), allows an examination of the factors influencing the autocorrelation of soil moisture and thus the  $t_{SMM}$ :

$$
\rho(W_{n+1}, W_n) = \frac{\sigma_{W_n}(1 - \alpha_n) + \sigma_{\phi_n} \rho(W_n, \phi_n)}{\sqrt{\sigma_{W_n}^2 (1 - \alpha_n)^2 + 2\sigma_{W_n}(1 - \alpha_n)\sigma_{\phi_n} \rho(W_n, \phi_n) + \sigma_{\phi_n}^2}}
$$
(17)

where  $\rho$ ,  $\sigma$ , and  $\sigma^2$  represent autocorrelation, standard deviation, and variance, respectively, and  $w_n$  and  $w_{n+1}$ implies degrees of soil saturation at the period *n* and  $n + 1$ .  $\phi_n$  is an atmospheric forcing term combining the net effects on the water balance (based on climatological  $E/R<sub>net</sub>$  and  $Q/P$  ratios, where *E* is the total evaporation (i.e., transpiration, bare soil evaporation, interception loss),  $R_{\text{net}}$  is net radiation,  $Q$  is runoff, and  $P$  is precipitation) of the accumulated fluxes of precipitation and net radiation over the period *n*. The coefficient  $\alpha_n$  combines the sensitivity of the total evaporation to soil moisture (specifically,  $c_n$ , where  $E/R_{\text{net}} = c_nW + d_n$ ) and runoff sensitivity to soil moisture (specifically,  $a_n$ , where  $Q/P = a_nW + b_n$ ) as follows:

$$
\alpha_n = \frac{c_n \overline{R}_n}{C_s} + \frac{a_n \overline{P}_n}{C_s} \tag{18}
$$

where  $C_s$  is the water storage capacity of the column, and  $\overline{R}_n$  and  $\overline{P}_n$  are the long-term mean values of accumulated net radiation and precipitation over period *n*, respectively. We should note that  $c_n$ , the sensitivity of total evaporation to soil moisture, will reflect whether an area is in a water‐limited or energy‐limited evaporative regime (being close to zero in the latter case). The coefficient  $a_n$  will vary with the character of the local topography, with steeper slopes, for example, more amenable to producing runoff for a given level of soil moisture. Soil texture and vegetation characteristics will also affect the local values of  $c_n$  and  $a_n$ .

According to the above expression, the  $t_{SMM}$  (Seneviratne & Koster, [2012\)](#page-36-0) is controlled by five factors: (a) the variability of initial soil moisture, as reflected in  $\sigma_{W_n}$ , (b) the variability of the forcing (as reflected in  $\sigma_{\phi_n}$ ), (c) the correlation between the initial soil moisture and the forcing, as reflected in  $\rho(W_n, \phi_n)$ , (d) the sensitivity of total evaporation to soil moisture, as reflected in  $\frac{c_n R_n}{C_s}$ , and (e) the sensitivity of runoff to soil moisture, as reflected in  $\frac{a_n P_n}{C_s}$ .

Seneviratne and Koster [\(2012](#page-36-0)) interpreted the contribution of those five controls under two conditions: with and without feedback between soil moisture and the forcing variables. In the absence of any impact of soil moisture on either evapotranspiration, runoff, or atmospheric forcing, Equation [17](#page-12-0) simplifies to a simple function of the relative variability of the initial soil moisture and the atmospheric forcing:

$$
\rho = \frac{\kappa_1}{\sqrt{\kappa_1^2 + 1}}\tag{19}
$$

where

$$
\kappa_1 = \frac{\sigma_{W_n}}{\sigma_{\phi_n}}\tag{20}
$$

Based on how  $\sigma_{W_n}$  and  $\sigma_{\phi_n}$  compare to each other, three situations can be distinguished (Seneviratne & Kos-ter, [2012\)](#page-36-0): (a)  $\sigma_{W_n} \ll \sigma_{\phi_n}$  and  $\kappa_1 \ll 1$ , which indicates low memory; (b)  $\sigma_{W_n} \gg \sigma_{\phi_n}$  and  $\kappa_1 \gg 1$ , which indicates high memory; and  $\sigma_{W_n} \approx \sigma_{\phi_n}$  and  $\kappa_1$ <sup>-</sup> 1 which indicates moderate memory. There is, so far, no direct coupling between soil moisture and its forcing formulated, but these simplifications already allow us to classify memory based on comparisons of variability. That is, the larger the (scaled) atmospheric variability relative to the initial soil moisture variability, the smaller the  $t_{SMM}$  will be.

When soil moisture does affect either the total evaporation or runoff, one can see that  $\frac{c_n R_n}{C_s}$  and  $\frac{a_n P_n}{C_s}$  decrease the  $t_{SMM}$  because, for a given level of forcing, these terms would act to decrease the distinction between different soil moisture levels (Seneviratne & Koster, [2012](#page-36-0)). A positive correlation between initial soil moisture and atmospheric forcing terms,  $\rho(W_n, \phi_n)$ , would act to increase the  $t_{SMM}$  (Seneviratne & Koster, [2012](#page-36-0)). Conversely, a negative  $\rho(W_n, \phi_n)$  would decrease it (Seneviratne & Koster, [2012\)](#page-36-0).

Although not directly mentioned by either Koster and Suarez ([2001\)](#page-33-0) or Seneviratne and Koster [\(2012](#page-36-0)), the above expressions indirectly relate the contribution of soil properties to  $t_{SMM}$  through the soil water storage capacity, that is, the  $C_s$  parameter. When  $C_s$  is large, it compensates for the negative contribution of both total evaporations,  $c_n\overline{R}_n$ , and runoff,  $a_n\overline{P}_n$ , to  $t_{SMM}$ . Conversely, a small  $C_s$  value will amplify these negative effects. Therefore, any change in  $C_s$  due to external or internal forces will affect the anomalies of soil moisture and thus the  $t_{SMM}$ . A change in *Cs* can be triggered, for instance, by changes in soil structure and soil particle arrangement, changes in soil organic matter content, and all related effects induced by changes in land use, climatic conditions (e.g., droughts), vegetation, soil microbial and faunal activity, or soil compaction.

When the overall literature is screened for factors that control  $t_{SMM}$ , we find eight factors: (a) atmospheric forcings, (b) anthropogenic activities, (c) soil hydrological forcings, (d) soil properties, (e) groundwater dynamics, (f) vegetation properties, (g) sampling frequency, and (h) data sources. These factors, listed in Table [1](#page-14-0) (which serves to summarize the  $t<sub>SMM</sub>$  control factors identified in the literature and their effects), are all represented, either directly or indirectly, in the autocorrelation representation, Equation [17](#page-12-0). For example, vegetation affects evapotranspiration and runoff generation and can thus also contribute to changes in soil water storage, and

<span id="page-14-0"></span>

**Table** 1

List of Factors (Forcings, Properties, Observational Characteristics) That Impact Soil Moisture Memory (SMM) and Its Timescale (t<sub>SMM</sub>) and Related Effects

Factors	List of ractors (rorcings, rroperties, Ooservational Characteristics) тhat impact Sou Motsiure Memory (SMM) and its rimescale (t <sub>SMM</sub> ) and Ketalea Effects Effect
Atmospheric forcings	1. Potential Evapotranspiration: It contributes to the attenuation of soil moisture anomalies and plays an important role in shaping SMM (Delworth & Manabe, 1988; Rahman et al., 2015). The amount of radiant energy absorbed by the soil surface affects the length of $t_{SMM}$ by affecting evapotranspiration (Yeh et al., 1984). 2. Precipitation: As one of the water sources in the system, it leads to positive soil moisture anomalies and its absence leads to negative soil moisture anomalies and by that shapes its memory (Delworth & Manabe, 1988; McColl, Alemohammad, et al., 2017; Rahman et al., 2015; Small & Papuga, 2002; Song et al., 2019; Yeh et al., 1984). Note that rainfall has an asymmetric effect on SMM (discussed further in item 4 below). 3. Snowmelt and soil freezing: Snowmelt acts as another source of water and from there impacts SMM (Delworth & Manabe, 1988; Shinoda, 2001). Winter soil freezing and low snow depth can preserve soil moisture anomalies from fall to next spring and extend $t_{SMM}$ (Shinoda, 2001; Shinoda & Nandintsetseg, 2011). Areas with longer snowpack duration have longer $t_{SMM}$ compared to regions with shorter snowpack duration (Delworth & Manabe, 1988). 4. Extreme events: Extreme events such as heavy rainfall, droughts, or temperature fluctuations have profound effects on the condition of the soil (Bao et al., $2023$ ), as well as on soil water storage (Mahanama & Koster, $2003$ ; Orth et al., $2013$ ) and by that they can affect SMM. Both extremely dry and wet soils lead to long $t_{SMM}$ (McColl, Wang, et al., 2017; Orth & Senevir- atne, $2012$ ) due to increases in soil moisture variability and correlation with precipitation (Orth & Seneviratne, $2012$ ). However, drier conditions tend to have longer $t_{SMM}$ compared to wet conditions (Rahman et al., 2015). The elongated $t_{SMM}$ under dry conditions can be related to changes in physical soil properties that may make the soil more water-repellent, thereby prolonging a drought anomaly (Orth & Seneviratne, 2012). On the other hand, a greater increase in $t_{\text{SMM}}$ under extremely dry conditions compared to extremely wet conditions is reasonable because dry periods can potentially be more extreme than wet periods (Orth & Seneviratne, $2012$ ). Heavy rainfall, for its part, effectively acts as a reset button for memory.
Anthropogenic activities	1. Deforestation: Forests play a critical role in regulating soil moisture and surface temperature by intercepting precipitation as well as the cooling effects due to its higher evapotranspiration (Hesslerová et al., 2019). Deforestation removes vegetation cover, disrupts soil moisture regulation (Guo et al., 2002), reduces infiltration, accelerates runoff (Peili & Wenhua, 2001), and potentially shortens $t_{SMM}$ by reducing the soil's ability to retain moisture over time. 2. Land use change: This can lead to both lengthening and shortening of $t_{SMM}$ depending on which land use change is imposed. However, a detailed investigation into this is missing. 3. Irrigation: Conceptually, irrigation can contribute to wet soil moisture anomalies that likely prolong $t_{SMM}$ (Yeh et al., 1984). However, improper irrigation can lead to waterlogging and poor drainage (Gebrehiwot, 2018; Khalil et al., 2021) which can limit soil's ability to store water for future use by weakening the soil condition, thus potentially shortening the $t_{SMM}$ . This requires further investigation in future. 4. Other activities: Human activities like urbanization, soil sealing, overgrazing, and accelerated soil erosion presumably impact soil dynamics (Feng et al., $2023$ ) and therefore $t_{SMM}$ , but research on this is lacking. For example, it is easy to assume that plowing can greatly alter the soil's ability to retain water and affect infiltration as it breaks up the surface crusting, or that changes in composition through the addition of organic matter and fertilizers can also alter the interaction between soil and water and thus affect SMM. To the best of our knowledge, however, such impacts still need to be researched.
Soil hydrological forcings	1. Actual evapotranspiration: This is the main coupler between the atmosphere and soil (especially in transition zones) and is a key factor in controlling the storage of soil moisture and thus the extent of SMM (Bonan & Stillwell-Soller, 1998; Liu & Avis- sar, 1999; Wu & Dickinson, 2004). Higher actual evapotranspiration potentially leads to shorter $t_{SMM}$ (Liu & Avissar, 1999). 2. Runoff and drainage: It attenuates soil moisture anomalies (mostly in wet regions) and shortens the duration of positive anomalies, thus decreasing $t_{sMM}$ (Delworth & Manabe, 1988; Yeh et al., 1984), more possibly the short-term $t_{sMM}$ . 3. Variations in the antecedent soil moisture: It, as an indicator of abnormal conditions, contributes to $t_{SMM}$ (Song et al., 2019). Dry anomalies decay more slowly than moist anomalies under similar atmospheric conditions and thus potentially result in a longer $t_{\text{SMM}}$ (Song et al., 2019).
Soil properties	1. Soil water storage: Soil water storage is an important controlling factor of SMM as it affects the impacts of evapotranspiration and runoff (Orth & Seneviratne, 2012; Seneviratne, Koster, et al., 2006). 2. Soil field capacity ( $n\Delta z$ ), porosity (n), and depth ( $\Delta z$ ): The lower the field capacity, the shorter the $t_{SMM}$ (Delworth & Man- abe, 1988; Orth et al., 2013; Yeh et al., 1984). As field capacity is used directly in the autocorrelation expression of soil moisture (Koster & Suarez, $2001$ ; Seneviratne & Koster, $2012$ ), it can be a good candidate for studying the effects of other soil properties on SMM. The $t_{SMM}$ increases with greater soil depth (Amenu et al., 2005; Asharaf & Ahrens, 2013; Douville et al., 2007; He et al., 2023; MacDonald & Huffman, 2004; Martínez-Fernández et al., 2021; Ruscica et al., 2014; Song et al., 2019; Wu et al., 2002), as deeper layers exhibit higher organic and clay contents (Martínez-Fernández et al., 2021), larger magnitudes of soil moisture spectra (Asharaf & Ahrens, 2013), and slower drying times after precipitation events. 3. Soil particle-size distribution: Although the effect of soil separates (specifically sand content) on SMM (directly and indirectly) is evaluated through several recent investigations (Akbar et al., 2018; Groh et al., 2020; Shellito et al., 2018) and no clear conclusion has been made yet, it seems that coarse-textured soils (sandy soils) exhibit shorter $t_{SMM}$ due to easier water release via evapotranspiration and drainage (Martínez-Fernández et al., 2021; McColl, Wang, et al., 2017). However, some research contradicts this (McColl, Alemohammad, et al., 2017).





<span id="page-16-0"></span>

**Table 1**

*Continued*



the sampling frequency can affect the length of the quantification period. Jacobs et al. [\(2020](#page-33-0)) showed that stochastic rainfall plays a crucial role in memory and persistence of regional soil moisture. The frequency of rainfall was identified as the primary factor determining persistence across the region, while variations in land cover and soil properties had a secondary impact.

#### **5.2. Implications of SMM**

In this section, we explore the effects of SMM on different land surface processes. The reviewed literature shows that SMM has implications for weather variations and forecasts, land surface energy balances, monitoring and forecasting of droughts, floods, and heat waves, water use efficiency, biogeochemical cycles, groundwater predictions, and climate phenomena. Table [2](#page-17-0) summarizes the processes, events and phenomena controlled by SMM and the potential impacts identified in the literature.

## **6. SMM Representation by Models**

An accurate representation of SMM by LSMs requires a reliable parameterization of evapotranspiration and its dependence on soil moisture (Daly & Porporato, [2005](#page-30-0); Seneviratne et al., [2010](#page-36-0)). Evapotranspiration is coupled to energy, water, and carbon balance processes (Daly & Porporato, [2005](#page-30-0)), and plays a crucial role in determining the intensity of the greening-induced boundary forcing (Zeng et al., [2016\)](#page-38-0). In the so-called hotspot regions, soil moisture variability is the most important controlling factor of evapotranspiration variability (Koster et al., [2004](#page-33-0); Seneviratne et al., [2010\)](#page-36-0).

One can argue that accurate parameterization is also important for other processes. Such is the case of drainage and runoff, which are as important as evapotranspiration for determining SMM given the comparable roles that these play in the determination of the evolution of soil moisture in the land system (Entekhabi & Rodriguez– Iturbe, [1994](#page-31-0); Koster & Milly, [1997\)](#page-33-0); McColl et al. ([2019\)](#page-34-0). Additional aspects of land surface behavior, such as microbial moisture response curves used in the carbon cycle, may also prove important in the simulation of SMM. Here, for the sake of brevity, we focus on the evapotranspiration component. In the hybrid stochastic-deterministic model of McColl et al. [\(2019\)](#page-34-0), long-term memory of soil moisture is controlled by stage-II evapotranspiration and short‐term memory by drainage and runoff. However, the control of drainage and runoff on SMM is of short duration, typically a few hours, whereas the control of evapotranspiration is much longer, typically from a few days to several months. On the other hand, drainage- and runoff-induced SMM are neglected due to the lower resolution of the data (in many cases daily and higher), especially at large scale investigations. For this reason, we prefer to focus on evapotranspiration parameterization only rather than drainage and runoff.

Over time, the representation of the interrelationship between evapotranspiration and soil moisture in the field of climate modeling has evolved considerably through improved understanding of relevant complex processes and

<span id="page-17-0"></span>

**Table 2**

*List of Processes, Events, and Phenomena Controlled by Soil Moisture Memory (SMM) and the Corresponding Impact*









**Table 3**

Modeling Aspects of Soil Moisture (SM)—Evapotranspiration (ET) Relationship in First to Third Generations of Land Surface Models (LSMs)		
Models	Modeling aspects and possible drawbacks	
First-generation LSMs: bucket-type parameterization (Sellers et al., 1997; Seneviratne et al., 2010)	• Simple parametrization of ET and SM. • Typically employing two thresholds (namely critical SM and the wilting point), where ET is unrestricted until the SM falls below critical SM, beyond which ET will linearly decrease by a further decrease in SM and reach zero when SM falls below the wilting point. • Not accurately capturing trends in SMM because: • They tend to overestimate ET relative to other land surface systems. This is primarily because they overlook additional factors besides soil moisture that limit plant transpiration. • They typically consider only a single soil store and fail to account for interception storage and spatial variations in soil and vegetation parameters, and they provide an oversimplified representation of runoff formation, temperature conduction, and soil freezing.	
Second-generation LSMs: biophysical models (Sellers et al., 1997; Seneviratne et al., 2010)	• Incorporate more detailed representations of land surface processes. • Employ soil moisture models that consider the actual water content of the soil, rather than relying only on fixed thresholds. • Simulate a gradual decrease in ET as SM decreases. • Include a clearly defined upper layer of the canopy, soil with multiple layers, and the incorporation of key physical phenomena occurring within the plant canopy and soil. • Higher ability to regulate ET through stomatal resistance, considering the physiological factors involved. • Evaporation can originate from four distinct sources: potential evaporation from the interception layer, evaporation from exposed soil, transpiration from vegetation, and snow sublimation. • Vegetation cover can draw water from the deep root zone for transpiration, contributing to long-term climate memory. <sup>o</sup> Better representation of SMM compared to bucket models, because they distinguish between soil and root zone evapotranspiration, which are separate moisture reservoirs with different memory characteristics and corresponding effects on surface fluxes. • They include geographic detail regarding variations in soil and vegetation parameters, particularly factors such as water-holding capacity and rooting depth, which contribute to improved model representation despite some uncertainty regarding their specification. • They include the interception reservoir that allows for fast evaporation which is significant in different regions around the world.	
& Koven, 2020; Seneviratne et al., 2010)	Third generation LSMs: physiological models (Fisher • Further refined representation of the interactions between ET and SM. • More advanced land surface schemes that included multiple soil layers to capture vertical variability in SM. • Including explicit parameterizations to account for the effects of soil texture, vegetation type, and root dis- tribution on ET. • Incorporate various aspects of plant photosynthesis, such as carbon assimilation and nutrient uptake, enzyme kinetics, electron transport, and the absorption of light by chloroplasts in plant leaves. • Including the feedback mechanisms between SM and the atmosphere allows for a more dynamic represen- tation of the ET process. • Considering the potential effects of $CO2$ concentrations on plant water use efficiency and, consequently, changes in the relationship between SM and ET under elevated $CO2$ . • Using the biophysical responses of plants to increase CO <sub>2</sub> levels to mitigate the effects of climate change, including drought and wildfires, although these biophysical responses can be affected by nutrient limitations that inhibit plant growth, which means that this interaction is not adequately accounted for, and the memory effect may not be fully represented.	

the advent of unprecedented computational capabilities (Seneviratne et al., [2010](#page-36-0)). In fact, the different generations of climate models have developed increasingly sophisticated approaches to capture this relationship. Table 3 summarizes such representations (along with their possible advancements and drawbacks) in the first through third generation of LSMs—the evolution and progress of models characterized by their complexity, capabilities, and the scientific understanding they incorporate (Sellers et al., [1997](#page-36-0)). Here, only the current state-of-the-art climate models, and how SMM is represented by LSMs will be addressed in detail. The newest generations of LSMs (fourth generation onwards, including dynamic vegetation and vegetation demographics) see improvements in the representation of key hydrological processes (Zeng et al., [2016](#page-38-0)) such as the movement of water through the soil profile, surface runoff, groundwater recharge, and the treatment of subgrid-scale soil moisture variability. In parallel, the inclusion of complex feedback between the land surface and the atmosphere allows for a more realistic representation of the hydrologic cycle (Zeng et al., [2016\)](#page-38-0). For example, LSMs can now mimic the so-called greening of the Earth (Mahowald et al., [2015\)](#page-34-0) in which leaf area index (LAI) and stomatal conductance increase, thus affecting evapotranspiration rates. Despite such progress, it is unclear whether the overestimation of key features of evaporative drought (also known as Edrought, which includes regularly occurring dry seasons and abnormal dry periods) undermines the ability of models to simulate realistic drought responses to climate change, which has broader implications, for example, in the study of heatwaves (Ukkola et al., [2016\)](#page-37-0). There are also concerns over the sensitivity of LSMs to changes in atmospheric and hydrologic factors (including soil moisture availability) when characterizing global variability in soil carbon uptake (Humphrey et al., [2021\)](#page-32-0). Additional uncertainties in mean surface temperature and variability, probably related to the coupling between evapotranspiration and soil moisture in different models, have been reported (Berg & Sheffield, [2018](#page-30-0), [2019\)](#page-30-0). Further advancement in Earth system forecasting models is required. Several research pathways have been suggested such as the combination of models and data for Earth system forecasting to better capture the interconnected systems of our planet (Gettelman et al., [2022\)](#page-32-0).

Rind [\(1982](#page-35-0)) was among the first to investigate the importance of soil moisture anomalies in model predictions, who investigated the influence of SMM on summertime model predictability over North America. He showed that a reduction in early summer soil moisture resulted in a significantly higher surface air temperature and lower precipitation and cloud cover during summertime. The same methodology, albeit with different applications, has been used in several studies to date (Georgescu et al., [2003;](#page-32-0) Liang & Yuan, [2021;](#page-33-0) Zhao et al., [2019](#page-38-0)) and many have investigated SMM by integrating observations with LSMs and atmospheric general circulation models (GCMs), such as Dirmeyer, [\(1999](#page-31-0), [2000\)](#page-31-0); Dirmeyer and Brubaker ([2007\)](#page-31-0); Dirmeyer et al. ([2009](#page-31-0)); Douville et al. [\(2001](#page-31-0)); Douville ([2002\)](#page-31-0) and Koster et al. [\(2009](#page-33-0)) among the others (see the comprehensive review by Dirmeyer ([2011a\)](#page-31-0)).

These studies have generally focused on regional to global scales (Seneviratne et al., [2013](#page-36-0); Tijdeman & Menzel, [2021](#page-37-0); Wu & Dickinson, [2004\)](#page-37-0). For example, Rowntree and Bolton ([1983](#page-36-0)) assessed the importance of initial soil moisture anomalies to short-term changes in climate and hydrology. Also, Yeh et al. [\(1984](#page-38-0)) examined the latitudinal dependence of climatic and hydrologic response to soil moisture anomalies caused by large‐scale irrigation. Delworth and Manabe [\(1988](#page-31-0)) examined the effects of soil moisture variability on the atmosphere by performing a long-term GCM integration, manipulating the boundary conditions and the hydrologic interaction between the atmosphere and the land surface. Mahanama and Koster ([2003\)](#page-34-0) contrasted the memory behavior of two LSMs and found that the differences between the models were related to differences in water holding capacity and ET and runoff parameterizations. Other similar studies showed the dependency between the initial wet or dry conditions and the subsequent model predictions (Sörensson & Berbery, [2015](#page-36-0)), which points to the need for detailed land‐surface representations when modeling certain particular regions.

Despite the potential of these methods, generalized conclusions may be model-dependent due to the varying complexity of different models (Asharaf & Ahrens, [2013](#page-30-0); Seneviratne, Koster, et al., [2006](#page-36-0); Song et al., [2019\)](#page-36-0). This was first investigated by Seneviratne, Koster, et al. [\(2006](#page-36-0)) who found, among relatively similar global SMM patterns, local differences between model results due to different water‐holding capacity or biases in radiation forcing. Other studies have since compared SMM across models because SMM can be used to characterize the temporal variability of soil moisture and serve as a proxy for assessing land‐atmosphere flux exchange in LSMs (He et al., [2023\)](#page-32-0). For instance, SMM during dry periods can be greater when a multi‐layer soil moisture scheme is used in place of a single layer (Hagemann & Stacke, [2015\)](#page-32-0). Similarly,  $t_{SMM}$  can increase with increasing soil depth (Asharaf & Ahrens, [2013](#page-30-0)). Further, LSMs generally simplify or ignore lateral flow or groundwater table fluctuations, resulting in non-realistic spatial distributions of groundwater that affect SMM predictions (Martínezde la Torre & Miguez‐Macho, [2019\)](#page-34-0).

The uncertainty of model outputs and parameterization schemes has also been investigated. For example, in their global sensitivity analysis, MacLeod et al. ([2016](#page-34-0)) argued that the dependence of SMM uncertainties on the uncertainty of model parameters (e.g., soil hydraulic properties) is still unclear. They showed that a more deterministic parameter of the model could result in a narrower range of simulated SMM. With respect to model complexity and resulting uncertainty in SMM estimates, there are sometimes different viewpoints among the studies reviewed here. On the one hand, some authors, for example, MacLeod et al. ([2016\)](#page-34-0), argue that forecasting the reliability of SMM using a process‐based model could be enhanced by explicitly incorporating parameter uncertainty into the land-surface hydrology equations. Others have suggested that LSMs and GCMs are sometimes too complex and thus unsuited for certain mechanistic studies for which simpler models prove to be adequately efficient (Wei et al., [2006](#page-37-0)). Overall, there are several reports (He et al., [2023;](#page-32-0) McColl et al., [2019](#page-34-0); Seneviratne, Koster, et al., [2006](#page-36-0)) that show large differences in SMM between individual models that largely

<span id="page-21-0"></span>reflect differences in model parameterizations (e.g., soil hydraulic properties) and, to a lesser degree, soil layer depth and simulation framework (i.e., online vs. offline). There is also some agreement, for example, refer to He et al. [\(2023](#page-32-0)); McColl et al. ([2019](#page-34-0)) that LSMs overestimate  $t_{SMM}$ . Similarly, Wei et al. [\(2010](#page-37-0)) showed that the GLACE GCMs may overestimate the strength of the land‐atmosphere coupling and the SMM due to model biases with respect to low-frequency precipitation variability compared to observations. The overestimation of  $t_{\text{SMM}}$  is also indirectly supported for some (but not all) reanalysis and coupled land‐atmosphere models by Dirmeyer et al. ([2018\)](#page-31-0).

Overall, in discussing the limitations of LSMs, particularly in the simulation of SMM, we should point out that certain LSM structures or parameters are likely to be consistently challenging. For example, the representation and parameterization of soil‐related processes within the framework of classical ordinary and partial differential equations might not be able to account for past states and trajectories of soil moisture (adopted from Rahmati, Or, Amelung, Bauke, et al. [\(2023](#page-35-0))) and therefore poorly represent the impact of SMM on the evolution of soil moisture. In addition, the simplified representation of soil physics and hydrology, such as the simplified parameterization of soil texture, hydraulic conductivity, and soil moisture retention curve as well as the vertical discretization and its effects on for example, infiltration, may not accurately capture the complex interactions between soil, vegetation and atmosphere and therefore may lead to a distortion of soil moisture and its memory. Furthermore, LSMs often struggle to accurately simulate soil moisture feedback mechanisms because soil moisture interacts with various land surface components, such as vegetation dynamics, surface runoff, and groundwater recharge, and any failure to capture this feedback can lead to discrepancies between simulated and observed soil moisture dynamics. As shown in the previous sections, systematic biases in LSM simulations can be identified, indicating clear trends or patterns in model deficits in different regions or under different climatic conditions, which may result from differences in model structures, parameterizations and forcing data. In addition, LSM performance may vary depending on climatic conditions, for example, between dry and humid regions or between temperate and tropical climates. In arid regions, LSMs may struggle to capture the dynamics of sparse vegetation and limited soil moisture availability, while in humid regions they may be confronted with excessive precipitation and runoff processes.

## **7. SMM From Space**

One way to assess the ability of models to represent SMM at the regional to global scale, particularly when in‐situ data are sparse, is to benchmark models against satellite‐based surface soil moisture products such as those from the Soil Moisture and Ocean Salinity (SMOS) or Soil Moisture Active Passive (SMAP) (Montzka et al., [2017\)](#page-34-0) missions or direct retrieval of soil moisture from multispectral active and passive satellites (Babaeian et al., [2016](#page-30-0), [2019](#page-30-0); Hassanpour et al., [2020;](#page-32-0) Mohanty et al., [2017](#page-34-0); Rahmati et al., [2015](#page-35-0)).

However, many satellite products lack the necessary temporal resolution, and this can affect the  $t_{\text{SMM}}$  results, especially when relevant processes occur within the satellite revisiting period (He et al., [2023\)](#page-32-0). For multi-decadal analyses, which are possible with the multi‐mission European Space Agency (ESA) Climate Change Initiative (CCI) Soil Moisture product dating back to 1978, early observations are not available in daily intervals. Nevertheless, their potential at relevant scales is undisputed. In more recent versions of CCI, starting with V7, an "interruption‐adjusted" product was developed to minimize the effects of discontinuities and moment shifts when different satellites go online and offline (Preimesberger et al., [2020](#page-35-0))—these artifacts could interfere with delayed autocorrelation and SMM calculations. In addition, satellite-derived surface soil moisture products harbor random and periodic errors that impact the estimates of land‐atmosphere coupling and therefore shorten the estimated SMM (Seo & Dirmeyer, [2022](#page-36-0)). In fact, the  $t_{SMM}$  is intrinsically linked to the slope of the power density spectrum of soil moisture in log(frequency): log(power) phase space, which flattens at high wavenumbers due to random observational noise (based on application of the framework of the first‐order Markov process model to assess soil moisture observational data), which is particularly problematic in remote sensing (Kumar et al., [2018](#page-33-0)). Even if the notion of the validity of a first-order Markov model for soil moisture at daily resolution is rejected (as discussed earlier), the effects of noise on soil moisture readings must be accounted for by applying other routines (e.g., Abdolghafoorian & Dirmeyer, [2022](#page-30-0)). Another limitation is that satellite observations based on microwave emissions or backscatter can effectively measure soil moisture and its variability only up to a depth of 2–5 cm from the surface, even though they can effectively capture dynamics relevant to deeper layers, up to 10–15 cm (Feldman et al., [2023\)](#page-31-0). This impedes their use in examining  $t_{\text{SMM}}$  as a function of depth or, for that matter, for a bulk depth representing transpiration processes (MacLeod et al., [2016](#page-34-0); Wu & Dickinson, [2004](#page-37-0); Yang &

<span id="page-22-0"></span>Zhang, [2016\)](#page-38-0). Therefore, it becomes crucial to understand how the temporal and spatial dynamics of the upper layer being observed from space relate to those of the lower layers. Here, the integration of remote sensing and modeling by data assimilation can provide support. For example, the SMAP Level-4 (Reichle et al., [2017](#page-35-0)) soil moisture product is based on the assimilation of SMAP observations into the Catchment land surface model and includes surface soil moisture (0–5 cm vertical average) as well as root‐zone soil moisture (0–100 cm vertical average). However, the integration of multiple satellite data sources with different resolutions or with different acquisition techniques (e.g., active, and passive microwaves) has an impact on the accuracy of the  $t<sub>SMM</sub>$  estimates. It is strongly recommended to integrate data from a single technique (e.g., active microwaves), a single band (e.g., C-band), and with the same algorithm. This minimizes the potential impact of such inconsistencies on the  $t_{SMM}$ estimate. While recommended, however, such an approach is not always practical, as data sources are limited. Data sets such as the soil moisture product of the ESA Climate Change Initiative were created to obtain a longterm SM time series from 1978 onwards by statistically combining the data from several satellite missions. Here, the characteristics of the different observation strategies (microwave frequency, active/passive, retrieval algorithm) were harmonized with reduced uncertainty to estimate the  $t<sub>SMM</sub>$ . Earlier data with a lower temporal resolution may, however, be sparser. Alternative methods to estimate root zone soil moisture are P‐band radar measurements able to deeper penetrate the soil (15–20 cm) (Tabatabaeenejad et al., [2020\)](#page-37-0), or statistical scaling of surface soil moisture time series to the root zone by an exponential filter (Wagner et al., [1999](#page-37-0)). Other attempts (e.g., Hassanpour et al., [2020](#page-32-0)) are also underway to determine soil moisture in the root zone from remote sensing data that can be used to determine SMM for deeper depths.

SMM can also be highly variable in space due to land cover or soil texture heterogeneity. To investigate this further, higher spatial resolution soil moisture needs to be considered. Here, the SMAP/Sentinel-1 combined Radiometer/Radar data at 3 km (Das et al., [2019\)](#page-31-0) or the Copernicus Global Land Service Sentinel-1 1 km data (Bauer‐Marschallinger et al., [2018](#page-30-0)) can be utilized.

The first global study attempting to characterize SMM from NASA's SMAP mission was carried out by McColl, Alemohammad, et al. [\(2017](#page-34-0)). Several studies have performed additional analyses to characterize  $t_{SMM}$  from satellite soil moisture products and their relationship with precipitation (Akbar et al., [2018;](#page-30-0) Short Gianotti et al., [2019\)](#page-36-0). Kim and Lakshmi [\(2019](#page-33-0)) compared multiple satellite soil moisture products and reanalysis in this regard, also investigating the impact of the observed layer depth and temporal frequency. Indeed, memory derived from remote sensing data may be limited to the top layer of the soil profile. This might be different from for example, soil moisture characterizing the whole root zone and its memory as simulated by models. In their study, McColl et al. ([2019\)](#page-34-0) proposed and validated a method relying on SMAP observations to estimate  $t_{\text{SMM}}$  under different soil and climate conditions. The authors found that the use of the Catchment-LSM model to simulate near-surface soil moisture overestimated  $t_{SMM}$  related to water limitations, while it underestimated  $t_{SMM}$  related to energy-limiting conditions. In a similar study, He et al. ([2023\)](#page-32-0) evaluated the hydrometeorological behavior of four widely used global LSMs by comparing them to 5-year  $t<sub>SMM</sub>$  from SMAP observations. They confirmed the findings by McColl, Alemohammad, et al. ([2017\)](#page-34-0). Koster et al. ([2018\)](#page-33-0) evaluated surface SMM in the Catchment LSM using SMAP data and found it to be deficient; they then used the SMAP data to improve the LSM's parameterizations, thereby improving the simulated memory. In summary, when comparing  $t_{SMM}$  from modeling and satellite observations it is possible to improve the structure and the parameterization of LSMs. Nevertheless, future practices using satellite soil moisture data sets with higher temporal frequency, spatial resolution, and longer temporal coverage are expected and urgently needed. In fact, in the near future, new satellite missions dedicated to soil moisture measurements will be launched, such as the National Aeronautics and Space Administration‐Indian Space Research Organization (NASA‐ISRO) Synthetic Aperture Radar (NISAR) mission, the Radar Observation System for Europe at L-band (ROSE-L) Synthetic Aperture Radar (SAR) mission, and the EUMETSAT Polar System‐Second Generation (EPS‐SG) missions with the new Scatter‐meter (SCA) sensors onboard. The spatiotemporal sampling and accuracy of soil moisture will also be significantly improved through the integration of the guaranteed launch of the Sentinel‐1C and Sentinel‐1D missions into the Sentinel‐1 observation strategy, providing more accurate satellite‐based estimates of SMM.

## **8. Utilizing SMM to Predict and Scale Soil Moisture**

The impact of SMM extends beyond its influence on hydrologic processes and can also affect the quality of soil moisture prediction and downscaling of large‐scale remote sensing products. Researchers have explored several approaches to improve spatial downscaling of soil moisture data. Mao et al. ([2022\)](#page-34-0) used SMM and mass <span id="page-23-0"></span>conservation to improve the spatial downscaling performance of soil moisture provided in SMAP products and for developing high-resolution soil moisture information. To this end, the random forest algorithm was applied by adding three‐ and 7‐day lagged soil moisture as a predictor to represent SMM, along with other regular predictors in routine downscaling studies. However, we believe that the SMM time scale and all lagged soil moisture contents within this time scale could have been used as additional predictors in the model instead of defining the time lags more arbitrarily. In this regard, in the studies of Pal et al. ([2016](#page-35-0)) and Pal and Maity [\(2019](#page-35-0)) all lagged soil moisture contents at the target depth that fall within a given time scale of p (referred to as the memory component order), along with current and lagged soil moisture contents of the overlying layer that fall within a given time scale of *q* (referred to as the forcing component order), were used to predict the soil moisture content of the target depth at a given time. Although this remains to be investigated in future research, the use of SMM and lagged soil moisture content to downscale the large‐scale remote sensing products may vary in effectiveness due to the diversity of environmental conditions in different ecosystems. Overall, factors such as soil composition, vegetation cover, topography, and climate variability may play a significant role in the generalizability of these methods in different ecosystems. The application of these methods in ecosystems with homogeneous soil composition and vegetation cover, and consequently with uniform hydrological processes, may be more effective. However, their predictive accuracy may be impaired in ecosystems characterized by high heterogeneity of soil types, vegetation distribution or topographic features. Furthermore, the applicability of these methods may be limited in ecosystems that are subject to rapid land‐use change or disturbance, as these changes can significantly affect soil moisture dynamics and challenge the assumptions underlying the downscaling models.

The initialization of soil moisture states in climate models is crucial for accurate hydrological predictions. Walker and Houser ([2001](#page-37-0)) proposed a data assimilation approach using remotely sensed soil moisture to initialize soil moisture states in the NASA NSIPP climate model. By considering the long-term persistence of soil moisture, this method significantly improves model performance in hydrological predictions.

Incorporating soil moisture history and teleconnection indices, Nicolai‐Shaw et al. ([2016\)](#page-34-0) investigated temporal variations in soil moisture using regression analysis. They found that the predictability of soil moisture decreases with increasing lead time. The influence of previous states of soil moisture on the predictability of its states at any given time depends on the region and season, with higher predictability in dry regions due to minimal atmospheric noise. However, in dry regions, the soil moisture anomaly is only dissipated by evapotranspiration, so noise rarely occurs.

## **9. The Way Forward**

#### **9.1. SMM Emergence**

Building on the literature reviewed, this section discusses how SMM develops in soil due to climatic influences and other mediating factors. Figure [4](#page-24-0) illustrates the emergence and evolution of SMM in soil, its driving forces, carriers, and effects, adopted from Rahmati, Or, Amelung, Bauke, et al. ([2023\)](#page-35-0) and modified upon conducted review in previous sections.

Past research on SMM has been strongly embedded in the field of climate research looking at the fingerprints of SMM on climatic processes but with less attention in providing underlying mechanistic explanations for the occurrence of SMM. Future research should focus on examining the fundamentals that control the emergence, the spatial and temporal extent, and the strength of SMM. To advance this, we propose to classify the controlling factors of SMM into three groups (see Figure [5\)](#page-24-0): (a) atmospheric forcings, (b) land use and management, and (c) soil processes and mechanisms and their properties. Grouping drivers of SMM into these three main groups, we try to elaborate on "how" and "why" SMM emerges in terrestrial ecosystems.

The atmospheric forcings (Group 1) determine the inputs and outputs of information (in the form of anomalies) fed into soil systems, and from there influence the strength of the SMM and the length of its timescale  $(t<sub>SMM</sub>)$ . However, it should be noted that Equation [1](#page-2-0) and the current equations used to derive SMM ignore important fluxes such as capillary rise, lateral fluxes, irrigation, and miscellaneous non‐rainfall water (e.g., dew). Capillary rise is important for conditions where for example, the groundwater level is close to the active soil root zone. The findings by Martínez-de la Torre and Miguez-Macho [\(2019](#page-34-0)) have so far been the only research that linked groundwater table variations to the timescale of the memory, thus calling for the continued inclusion of groundwater dynamics in modeling approaches for better predictions of soil moisture

<span id="page-24-0"></span>



**Figure 4.** Soil moisture memory (SMM), its drivers, and implications (being adapted from Rahmati et al. <sup>11</sup> and modifications according to conducted review).

dynamics, hydrological processes, and of the interactions between land surface and atmosphere. Although not directly related to SMM, the importance of considering groundwater when addressing soil moisture dynamics is also highlighted by Soylu and Bras [\(2022](#page-36-0)). With respect to lateral fluxes, Rodriguez-Iturbe et al. ([2001\)](#page-36-0) argue that although the effects on soil moisture dynamics are local in flat areas, in regions with significant



**Figure 5.** Drivers of soil moisture memory (SMM). The f(soil) implies the role of soil properties and mechanisms that through a feedback loop mediate soil water storage and redistribution and thereby impact SMM. The loop arrow for "Input" refers to the input of information (in the form of moisture anomalies) into the soil system and the arrow for "Output" refers to the dissipation of the anomaly condition.

topographic features or in river basins with a complicated drainage network and associated gradient system, lateral fluxes prove to be a crucial determinant of the spatiotemporal distribution of soil moisture dynamics. It is unclear whether the inputs of non-rainfall water, more specifically dew, can contribute enough anomaly to affect SMM. Depending on location, the non-rainfall water inputs can range from 1 to  $>100\%$  of the monthly precipitation (Xiao et al., [2009\)](#page-37-0) and typically ranges between 4% and 19% of the annual precipitation (Aguirre‐Gutiérrez et al., [2019;](#page-30-0) Groh et al., [2018;](#page-32-0) Hanisch et al., [2015](#page-32-0)); however, much of the dewfall presumably takes the form of interception loss and never infiltrates the soil. Another important issue to consider when analyzing SMM is the uncertainty of precipitation measurements with standard rain gauges, which in some cases lead to a very significant underestimation of precipitation (Gebler et al., [2015](#page-32-0); Schnepper et al., [2023](#page-36-0)). Further research is needed to address all these potential drivers of SMM.

Soil moisture dynamics, and therefrom SMM, while driven in large part by the atmospheric drivers in Group 1, are modified further by land use and management (Group 2). All anthropogenic activities, including, for example, irrigation (already considered in Group 1), plowing and fertilizer application, and land use change, play a significant role in storing and transmitting soil moisture anomalies, and thus in determining SMM. The impact of human water use on terrestrial water fluxes and states in a fully coupled bedrock-toatmosphere model is well documented (Keune et al., [2019\)](#page-33-0). Further research is needed on how anthropogenic activities modify SMM and how they thereby enhance or mitigate its impacts on land surface processes.

Finally, SMM is the result of a complex interplay of physical, biological, and hydrological processes and soil properties (Group 3) (Rahmati, Or, Amelung, Bauke, et al., [2023](#page-35-0)). In fact, SMM is rooted in the integrative nature of soil moisture as a water reservoir (Orth & Seneviratne, [2013](#page-35-0)) which can be influenced by multiple processes (Figure [3\)](#page-11-0), including soil infiltration, soil water redistribution and storage, root water uptake, capillary rise, and drainage. This review shows that the literature, in general, considers soil depth and soil porosity (as it appears in the autocorrelation expression) to be the main soil properties controlling SMM. While we recognize the valuable contributions of previous efforts such as the SoilWat initiatives (e. g., Aliku & Oshunsanya, [2018](#page-30-0); Andrews & Bradford, [2016](#page-30-0); Oyeogbe & Oluwasemire, [2013\)](#page-35-0), we maintain that additional consideration should be given to pore size distribution, soil mineral composition (e.g., type and amount of clay), soil organic carbon, and other such properties, as these can control water retention, hydraulic conductivity, and diffusivity and accordingly can influence SMM. In addition, the importance of "hydraulic redistribution" by roots (Dawson, [1993\)](#page-31-0), which is of prominent importance during dry periods by bringing water from deep reservoirs to the near surface soil (Caldwell et al., [1998](#page-30-0); Jackson et al., [2000\)](#page-33-0), needs to be emphasized in future research. Hagemann and Stacke [\(2015\)](#page-32-0) have already shown that hydraulic redistribution by a wide range of plant species is significant in many different biomes around the globe and has implications for SMM.

#### **9.2. Modeling Considerations**

The reviewed literature shows that while noteworthy progress has been made in evaluating SMM as captured by LSMs, challenges remain. The lack of long-term measurements and limited simulation power of LSMs for longterm soil moisture variability currently hinder comprehensive analysis. Of course, we recognize that there are ever‐growing networks for soil moisture monitoring as additional in situ monitoring stations and new networks come online every year. The oldest has been in continuous operation for more than 30 years. Networks such as the Global Soil Moisture Data Bank (Robock et al., [2000](#page-35-0)), the International Soil Moisture Network (Dorigo et al., [2011](#page-31-0)), COSMOS‐Europe (Bogena et al., [2022](#page-30-0)), and the North American Soil Moisture Database (Quiring et al., [2016\)](#page-35-0) are a tremendous resource and have been used for SMM estimation (e.g., Dirmeyer et al., [2016](#page-31-0); Seneviratne, Lüthi, et al., [2006](#page-36-0)) and that will likely become even more useful over time. We also note that there are some valuable efforts, such as Baker et al. [\(2022](#page-30-0)), aimed at improving the fidelity of soil moisture monitoring to improve ground truthing of quantities like SMM. Also, isotope tracing studies are rare in truly quantifying water partitioning and the stored precipitation fraction across scales and for model validation. In addition, generalizing conclusions across different models is difficult due to differences in model complexity and parameter uncertainties. Future research efforts should focus on overcoming these challenges to improve the reliability and understanding of SMM in climate models. By means of a synergistic fusion of computational model simulations, empirical observations, and meticulous joint analyses with state-of-the-art satellite-based products, researchers can improve our basic understanding of SMM and its profound impacts on the complicated interplay between Earth's water and energy cycles. Continued efforts to refine models and improve data availability will contribute to more accurate predictions and a better understanding of the influence of SMM on climate dynamics. Several researchers (e.g., MacLeod et al., [2016\)](#page-34-0) have pointed out that the uncertainty in current memory estimates is not clear and that it is not obvious to what extent they depend on model parameterization uncertainties. Sensitivity analyses indicate that memory estimates and their uncertainty depend to a significant extent on key hydraulic parameters used to parameterize various processes in land surface models, suggesting that the models do not represent the memory as exists. On the other hand, soil hydraulic parameters in large-scale land surface, hydrology, and crop models are usually approximated by pedotransfer functions (PTFs), and recent evaluations show that the choice of PTFs is important for simulating soil water balance fluxes (Weihermüller et al., [2021\)](#page-37-0) and probably for SMM estimates.

Subsurface water vapor fluxes are rarely ever considered when simulating terrestrial energy, water, and carbon budgets using LSMs (Garcia Gonzalez et al., [2012](#page-32-0)). By including isothermal and thermal water vapor transfer, Garcia Gonzalez et al. [\(2012](#page-32-0)) evaluated the Joint UK Environment Simulator LSM (known as JULES for short) to simulate the key soil variables and showed that such inclusion contributes significantly to water and heat transfer in the upper soil layers in semiarid and temperate arid climates. Similar attempts have also been made to parameterize soil evaporation by coupled transport of moisture and heat for arid and semiarid regions by considering mechanisms associated with the transportation, condensation, and evaporation of water vapor in the soil matrix (Meng et al., [2023](#page-34-0)); note that Milly [\(1984](#page-34-0)) concluded some time back that neglecting thermal effects

on vapor diffusion in the soil has minimal impact on computed evaporation rates. However, no study to date (to the authors' knowledge) has investigated the impact of soil water vapor specifically on SMM. Further Community research in this area may be necessary in the future.

Again, Equation [1](#page-2-0) is typically used to analyze SMM. Recent developments in data-driven analysis using for example, machine learning or deep learning methods provide new opportunitiesto study and analyze hydrological processes (De Lavenne et al., [2022](#page-31-0); Lees et al., [2021](#page-33-0); Ma et al., [2021;](#page-34-0) Sungmin & Orth, [2021](#page-37-0)). These data‐driven analyses typically do not account for the specifics of hydrological dynamics. In a recent paper, De la Fuente et al. ([2023\)](#page-31-0) developed an improved machine learning approach based on Long Short‐Term Memory (LSTM) that is adapted to the specific system dynamics of hydrological processes and considers the importance of trends and patterns in data. They exploited the similarity between Equation [1](#page-2-0) and the underlying equations used in LSTM to develop this framework. They obtained a similar performance as compared to standard LSTM approaches but provided better interpretability of hydrological processes observed in 588 catchments across the US. This proposed framework and the ongoing developments in data‐driven approaches can serve as a basis for further exploration of SMM as well as its interactions with other terrestrial processes. However, it should be mentioned that while data‐driven approaches offer promising avenues for SMM research, there are still challenges in their usage such as incorporating physical understanding, ensuring data quality, improving generalization, improving interpretability, and reducing overfitting. There are a variety of ways to overcome these challenges. For example, hybrid models that combine data‐driven techniques with mechanistic models can improve interpretability and robustness. One can ensure data quality and quantity by using data preprocessing techniques to deal with missing or erroneous data, and by using techniques such as data augmentation or ensemble learning to improve model performance and robustness. There are also already well‐documented techniquesto increase the interpretability of the data‐driven models (e.g., feature importance analysis, sensitivity analysis or visualization methods) and to prevent overfitting (e.g., dropout, L1/L2 regularization or early stopping).One other possible pathway to analyze SMM that has not yet been explored is to use mathematical formalisms applied to signal processing and dynamical systems with memory, as proposed by Rahmati, Or, Amelung, Bauke, et al. ([2023\)](#page-35-0) in the case of soil memory as a whole. These mathematical formalisms may include, among others, fractional differential equations (Khalighi et al., [2022](#page-33-0)) that can store information about past states and trajectories of a dynamical system. Indeed, it is worth noting that SMM is an emergent property of the coupled land‐atmosphere systems that is the result of many other factors, and therefore its proper study requires new frameworks that go beyond the conventional evaporative fraction and soil moisture relationship (e.g., Haghighi et al., [2018](#page-32-0)). An initiative by Rahmati, Or, Amelung, and Vereecken, [\(2023](#page-35-0)) that uses fractional differential equations to redefine a hydrologic model by including a memory term showed that SMM can mitigate and amplify the effects of drought.

#### **9.3. SMM Under Extreme Events and Future Climate Projection**

Studying SMM under the bottleneck of extreme conditions is a promising way to gain deep insight into the complicated behavior and responsiveness of soil dynamics during extreme events. Orth and Seneviratne ([2012\)](#page-35-0) shed light on the critical importance of excluding extreme periods from analytical consideration while illuminating the potential role of soil physical properties in regulating SMM under extreme drought. Recent research (e.g., Rahmati et al., [2020\)](#page-35-0) shows that increasing drought has implications for the long‐term lagged relationship (representative of the memory effect) between soil moisture and evapotranspiration as a key variable linking soil moisture to the atmosphere. Therefore, exploring the physical processes underlying SMM in these extremes, whether drought, flood, or wildfire, will strengthen our predictive power and enable us to skillfully manage the uncertainties in the predictability of extreme events, as well as to better forecast their role in future regional climate. It can be argued that the focus on extremes is driven by societal demands rather than scientific necessity. Since extremes are the tails of a distribution, there is no difference to SMM processes in extremes. However, unlike a mere compilation of existing land surface features, extreme events often trigger nonlinear responses in land surface processes (including SMM) that can deviate significantly from typical patterns. For example, the loss of land‐atmosphere coupling, as studied by Wu and Dirmeyer ([2020\)](#page-37-0), can occur as one of several mechanisms during extreme events and lead to shifts in regional climate dynamics. The methods used in the literature to analyze SMM after extreme events are summarized in Table [4.](#page-27-0)

The projected impact of future climate on SMM in the coming decades is also an important aspect of SMM research that is missing from the literature. This is even more important when we consider climate change and land use intensification. Therefore, we suggest that this could be explored in detail in future research.

<span id="page-27-0"></span>**Table 4**

*Approaches Used in Literature to Analyze Soil Moisture Memory (SMM) in Relation to Extreme Events*



#### **9.4. Investigations Into the Spatial Component of SMM**

As reviewed in Section [3](#page-8-0), the temporal variation of memory timescale exhibits complex dynamics influenced by seasonality, availability of radiant energy, hydrological factors, and geographic dependencies. Divergent findings pervade scientific debates, with certain investigations supporting the idea of a prolonged memory timescale in winter and a shortened one in summer (Delworth & Manabe, [1988](#page-31-0); Dirmeyer et al., [2009;](#page-31-0) Douville et al., [2007](#page-31-0); Entin et al., [2000](#page-31-0); Liu et al., [2014](#page-33-0); Shinoda & Nandintsetseg, [2011\)](#page-36-0). However, a counter-narrative emerges from other scientific investigations (Hagemann & Stacke, [2015](#page-32-0); Orth & Seneviratne, [2012;](#page-35-0) Wu & Dickinson, [2004\)](#page-37-0), casting doubt on this idea. Consequently, there is an undeniable need for further research to gain a deeper understanding of the intricate regulatory mechanisms that govern differences in memory timescales across regions and different climatic contexts. Note that spatial variations in SMM are influenced by a combination of factors (e.g., latitude, elevation, drought, soil depth, topography, and hydraulic properties (He et al., [2023](#page-32-0); Orth et al., [2013\)](#page-35-0)) that also affect its timescale. SMM estimation is sensitive to uncertainties in hydraulic parameters (e.g., MacLeod et al., [2016\)](#page-34-0), and several of these hydraulic parameters show very high spatial heterogeneity.

In examining the spatial variability of SMM, examples can be found where unexpected patterns or contradictions to prevailing theories have been observed that may challenge our understanding. For example, the assumption of a correlation between  $t_{\text{SMM}}$  and latitude, as proposed by Delworth and Manabe ([1988\)](#page-31-0), where a shorter  $t_{\text{SMM}}$  is attributed to a lower latitude due to greater potential evaporation rates and faster dissipation of moisture anomalies, overlooks the effects of cloud cover on incoming radiation. This is because subtropical regions can receive more shortwave radiation than tropical regions (belonging to lower latitudes) with higher cloud cover within the Intertropical Convergence Zone (ITCZ). The recognition and inclusion of these examples of unexpected patterns or inconsistencies emphasizes the importance of continued research to refine existing theories and models to capture the full range of spatial variability in the SMM.

In the context of the spatiotemporal variations that characterize SMM, an examination of the existing literature reveals a perplexing observation: compared to the temporal aspect of SMM, the spatial aspect—the ability of SMM in one location to affect climate variables in another—has remained conspicuously unexplored. To date, no clear spatial component (non‐local effects) has been established for SMM, although Seneviratne et al. ([2010\)](#page-36-0) nicely brought this to the attention of the community by mentioning the possibility of large-scale and non-local impacts of the soil moisture (e.g., the impacts of soil moisture on large-scale circulation patterns). This is only indirectly investigated by Koster et al. [\(2014](#page-33-0)) and Koster et al. [\(2016](#page-33-0)), who explore the mechanisms that allow the state of soil moisture in one region to influence atmospheric conditions in another, and more recently, Giles et al. ([2023\)](#page-32-0) reported a non‐local coupling mechanism between soil moisture and the atmosphere in South <span id="page-28-0"></span>America. Thess initiative needs to be followed with similar studies as the question of whether the memory of a particular point in space can affect surrounding areas has not been clearly answered. Another good example of non-local impacts of SMM is provided by Dong et al. ([2023\)](#page-31-0), who showed that the negative soil moisture anomalies in May 2020 over the Indo‐China Peninsula in Southeast Asia contributed to the Meiyu period in East Asia during the East Asian summer monsoon in 2020 (see Table [2](#page-17-0) for details). The question of how changing conditions in neighboring areas can lead to the modification of memory at any point in space has also not been resolved, although some teleconnections have been made between the occurrence of SMM and ENSO events (Amenu et al., [2005;](#page-30-0) Timbal et al., [2002](#page-37-0)). By performing further research into this spatial component of SMM, scientists can gain a better understanding of how SMM propagates across different regions. Further investigations on teleconnections between the occurrence of SMM and events such as ENSO can shed light on how large-scale climate phenomena interact with local SMM. Research can also focus on scaling up SMM from point observations to larger areas. By integrating (effectively, upscaling) data from multiple points, researchers can analyze the collective impact of SMM on a broader scale. However, it is worth noting that the spatial component of SMM is not always distinct or easy to identify. Factors such as regional and temporal variations, methodological challenges, feedback mechanisms, and data limitations can make it difficult to understand it. For example, depending on the region, there may be consistent or inconsistent relationships between SMM and climate variables. On the other hand, the strength and duration of non-local SMM impacts may also vary over time. For example, SMM responses to climate drivers may fluctuate on an interannual or decadal timescale, introducing uncertainty into the understanding of its spatial component. Methodological challenges in quantifying and attributing the effects of the SMM in space and the presence of feedback mechanisms between the SMM and local climate conditions can lead to further uncertainties. Finally, limited observational data in certain regions or over certain time periods can make it even more difficult to identify non‐local impacts of SMM.

#### **9.5. SMM Links to Community Oriented UPHs**

Recently, 23 major unsolved problems in hydrology (UPHs) have been identified through a community initiative hoping to help and guide research efforts in the coming years (Blöschl et al., [2019](#page-30-0)). Therefore, in this section we attempt to wrap up the links between SMM and those UPHs calling for future research topics. In fact, SMM, as the capacity of the soil retaining the memory of past moisture conditions, is relevant to several of the UPHs. Among them, the following questions are particularly important:

- "*Variability of extremes*—question 11. *"Why, how, and when do rain‐on‐snow events produce exceptional runoff?*": Rain-on-snow events can lead to rapid snowmelt and increased runoff, particularly in regions with significant SMM—regions with persistent wet anomalies—as water infiltration into soils with high moisture content may be limited and therefore excess water contributes to surface runoff. Such an understanding is likely to be of greater importance for the prediction and management of flood risk in cold regions. These phenomena need to be further investigated in future research.
- "*Modeling methods* question 20. *How can we disentangle and reduce model structural/parameter/input uncertainty in hydrological prediction?*": As discussed in this review, the SMM is a vital component of the hydrological cycle. Therefore, its correct representation in hydrological models can potentially improve their ability to simulate the interactions between soil, vegetation, and atmosphere and to capture the effects of land surface changes on water resources. Such integration may involve the use of observational data, remote sensing techniques and process‐based modeling approaches to capture the complex interactions between soil properties, vegetation dynamics and atmospheric factors. A proper integration of SMM into hydrological models is also in line with the perspectives for the future of land surface models with respect to the representative complex terrestrial systems as presented by Fisher and Koven [\(2020](#page-32-0)). In particular, we believe that improved representation of SMM in hydrological models and land surface models is likely to help address two of the three "grand challenges" identified by Fisher and Koven [\(2020](#page-32-0)) (i.e., managing process complexity and representing land surface heterogeneity). However, this still needs to be explored.

## **10. Summary and Outlook**

In this paper, we reviewed the state of the art in analyzing and characterizing SMM in the Earth system. We analyzed the role of SMM on key terrestrial system processes and identified the factors that affect SMM. Atmospheric forcings, water storage and movement, soil hydraulic properties, and vegetation as well as anthropogenic activities influence the character of SMM. Extreme events such as heavy precipitation, drought, and wildfire can alter the soil over time, thus additionally affecting the link between past and current soil moisture conditions. Also, the depth and properties of the active soil layer and plant root development contribute to the manifestation of SMM.

We examined the factors that control the timescale of SMM. The SMM timescale is influenced by several factors, including seasonal variations in the atmospheric conditions, soil hydrology, occurrence and their severity of extreme event, anthropogenic activities, soil properties and their variability in space and time, groundwater levels, vegetation, sampling frequency, and data sources. We suggest grouping these controlling factors into three groups to help organize SMM research: (a) atmospheric forcings, (b) land use and management, and (c) soil processes and soil properties. Some of the key processes that control soil moisture dynamics and thus SMM at the field to catchment scale such as capillary rise, groundwater dynamics and lateral fluxes should receive more attention.

Our literature analysis shows that SMM has significant implications for weather variability, surface energy balance, drought and flood monitoring, water use efficiency, biogeochemical cycling, groundwater prediction, and climate impacts. Excluding extreme periods from SMM quantification reduces the time scale of SMM, especially under drought conditions. Further research should investigate the mechanisms, regional impacts, and relationship between soil properties and SMM under extreme conditions to support decision-making during extreme weather events.

Several approaches have been identified in the literature to quantify memory timescale and its strength. These metrics include autocorrelation timescale, variance spectrum, and the fraction of precipitation stored, among others. Using these metrics, published literature reports that the magnitude of the SMM ranges from weeks to over a year. Examination of the reported spatiotemporal variability of SMM indicates that the memory timescale of soil moisture varies throughout the year and is influenced by seasonal changes, availability of radiant energy, and hydrologic factors. Some studies suggest longer memory timescales in winter and shorter timescales in summer, whereas others find more complex behavior. Geographic dependencies and soil depth also contribute to temporal variations in memory timescales. Further scientific research is required to gain a much-needed deeper understanding of these complicated dynamics in different climatic environments. SMM also exhibits considerable spatial variability, with memory timescales increasing from tropical regions to high latitudes and influenced by spatially varying potential evapotranspiration rates. In arid regions, the memory timescale is longer due to smaller variations in soil moisture. Spatial variation in memory timescale is also related to factors such as precipitation duration, runoff, and evapotranspiration. However, estimates of the memory timescale are limited by uncertainties in hydraulic parameters, indicating the need for further research.

We also investigated how SMM is represented by LSMs. In this respect it is important to recognize that a correct description of the coupling of soil moisture, atmosphere, and land surface processes is critical for quantifying SMM, especially in regions where soil moisture strongly influences evapotranspiration. Climate models have evolved to better represent this relationship, with advances in parameterizing evapotranspiration and in the treatment of vegetation and soil dynamics. However, challenges remain, including the overestimation of soil moisture drought, highlighting the need for further progress and a closer integration of models and observations. Improved characterization of SMM may also be reached by assimilating observational data into an LSM system. In this regard, satellite observations can effectively estimate surface soil moisture, but their depth effect is limited. Obtaining soil moisture at deeper depths is important as several studies have shown that SMM is depth‐dependent and typically increases with soil depth. We also pointed out the possibilities of using data‐driven approaches and mathematical methods such as fractional mathematics as a basis for further research on SMM, as well as on its interactions with other terrestrial processes.

Finally, we have identified four avenues to further explore and quantify the role of SMM based on a better understanding of the underlying mechanisms and processes that influence it. These are: understanding the underlying mechanisms and processes that determine the character of SMM, improving the treatment of SMM in land models, exploring the physical processes underlying SMM during extreme events, and exploring the spatial component (non‐local effect) of SMM.

## **Data Availability Statement**

Data availability does not apply to this article, as no new data was created or analyzed in this study.

<span id="page-30-0"></span>

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